Energy Spectra in Shallow U. S. Coastal Waters

by
Edward F. Thompson

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20. ABSTRACT (Continue on reverse side if necessary and identify by block number)		
<p>Digital wave analyses for 3 to 12 months of data from each of 11 U.S. coastal gages are summarized and discussed. Water depths at the gage sites were typically between 5 and 9 meters. The gage designs included step resistance, continuous wire, pressure, and accelerometer buoy. The analysis for each record included computation of the energy (or variance) spectrum and the distribution function of sea-surface elevations. Parameters of the spectrum and distribution function of sea-surface elevations were also computed.</p> <p style="text-align: right;">(continued)</p>		

Spectra and parameters for the 24 highest energy cases from each gage location are presented individually. Spectra for all cases are grouped according to significant wave height and peak spectral period. Mean spectra and standard deviations about the mean are presented for most height-period groups. Parameters for all cases are summarized, including number of major spectral peaks, spectral-peakedness parameter, and third and fourth moments of the normalized distribution function of sea-surface elevations.

Multipeaked spectra are common at all locations. Mean spectra show evidence of systematic changes in shape as a function of significant height and peak spectral period. Values of the spectral-peakedness parameter range from about one to eight. The distribution functions of sea-surface elevations indicate a tendency for more extreme high values than low and for a more narrow distribution function than the Gaussian distribution. Observed characteristics are related to physical wave behavior and illustrated with three cases from cnoidal wave theory. Evidence is presented that the ratio of significant wave height to water depth does not exceed 0.55 in depths of 5 to 9 meters.

PREFACE

This report provides coastal engineers and researchers with wave energy spectra and spectral parameters for nine shallow-water gage locations along the U.S. Atlantic, Pacific, gulf, and Great Lakes coasts. Insight is also provided on the physical meaning of shallow-water spectra, which are becoming increasingly important in coastal engineering work. The work was carried out under the waves and coastal flooding research program of the U.S. Army Coastal Engineering Research Center (CERC).

This report was prepared by Edward F. Thompson, Hydraulic Engineer, under the supervision of Dr. C.L. Vincent, Chief, Coastal Oceanography Branch, and his predecessor, Dr. D.L. Harris.

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Comments on this publication are invited.

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TED E. BISHOP
Colonel, Corps of Engineers
Commander and Director

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CONVERSION FACTORS, U.S. CUSTOMARY TO METRIC (SI)
UNITS OF MEASUREMENT

U.S. customary units of measurement used in this report can be converted to metric (SI) units as follows:

Multiply	by	To obtain
inches	25.4	millimeters
	2.54	centimeters
square inches	6.452	square centimeters
cubic inches	16.39	cubic centimeters
feet	30.48	centimeters
	0.3048	meters
square feet	0.0929	square meters
cubic feet	0.0283	cubic meters
yards	0.9144	meters
square yards	0.836	square meters
cubic yards	0.7646	cubic meters
miles	1.6093	kilometers
square miles	259.0	hectares
knots	1.852	kilometers per hour
acres	0.4047	hectares
foot-pounds	1.3558	newton meters
millibars	1.0197×10^{-3}	kilograms per square centimeter
ounces	28.35	grams
pounds	453.6	grams
	0.4536	kilograms
ton, long	1.0160	metric tons
ton, short	0.9072	metric tons
degrees (angle)	0.01745	radians
Fahrenheit degrees	5/9	Celsius degrees or Kelvins ¹

¹To obtain Celsius (C) temperature readings from Fahrenheit (F) readings, use formula: $C = (5/9) (F - 32)$.

To obtain Kelvin (K) readings, use formula: $K = (5/9) (F - 32) + 273.15$.

SYMBOLS AND DEFINITIONS

d	water depth
f	wave frequency
f_{HF}	high-frequency spectral cutoff used for computations
f_{LF}	low-frequency spectral cutoff used for computations
f_p	frequency at the middle of the spectral band of maximum energy density
g	acceleration due to gravity
H	wave height
H_s	significant wave height
L	wavelength
L_p	wavelength corresponding to a wave of frequency f_p
m_n	n^{th} moment of the spectrum
$p(\eta_i)$	probability associated with η_i
Q_p	spectral-peakedness parameter
q_n	n^{th} moment of the sea-surface elevation distribution function
$S(f)$	spectral energy at frequency f
T	wave period
T_p	wave period corresponding to highest peak of the spectrum ($T_p = f_p^{-1}$)
ϵ	spectral width parameter
η	sea-surface elevation
θ	phase

ENERGY SPECTRA IN SHALLOW U.S. COASTAL WATERS

by
Edward F. Thompson

I. INTRODUCTION

Wind-generated gravity waves, with periods ranging from 1 to 25 seconds, are a primary concern in most coastal engineering work. Wind waves relate directly to such processes as longshore sand movement, onshore-offshore sand movement, and forces exerted on coastal structures. Hence, wind waves must be considered in virtually all coastal engineering endeavors, especially in design and maintenance of harbors and exposed navigation channels and in shore protection efforts. Edmisten (1978) presents an extensive list of major applications for coastal wind wave data.

Coastal engineers and researchers have wrestled for decades with the difficult problem of how to reduce the complexity of ocean wind waves to simple, useful approximations. The most common approach has been to represent the dominant waves in a particular sea state with three simple parameters: significant wave height, significant wave period, and wave direction. The latter parameter is normally unavailable, especially with gage data; however, it can be estimated from wind and weather records for days of special interest.

Practical techniques are available for estimating significant height and period from a wave record. All of the accepted techniques produce reasonable estimates for significant height. However, estimates for significant period are generally more variable and their significance is often questionable. One of the best approaches to estimating significant or dominant period is to compute the distribution of wave energy as a function of frequency (frequency is the reciprocal of period) and use the wave period corresponding to the frequency of greatest energy density. This period has a clear dynamic significance. The distribution of wave energy as a function of frequency is commonly referred to as the *wave energy spectrum*.

Although significant height, period, and direction provide a useful approximation to many sea states, these simple parameters omit details of the sea state which can be important in some applications. For example, the simultaneous occurrence of several prominent wave trains with different wave periods and directions is an important consideration in relating coastal wave conditions to longshore sediment movement. Design of ships and flexible coastal structures, such as floating breakwaters and offshore platforms, requires special consideration of how much wave energy can be expected at frequencies near the resonant frequencies of the structure. Similarly, effective harbor design requires that resonant oscillations in the harbor be minimized. Since the effectiveness of floating breakwaters in attenuating wave energy depends on the wave frequency, floating breakwater designs should be formulated and evaluated with a knowledge of how wave energy is distributed with frequency.

The preceding examples illustrate several applications in which more detailed specification of sea state is needed. Much of this additional needed detail is provided by the energy spectrum. Evolving technology and design techniques in most areas of coastal engineering are generating a similar need for engineers to acquire a more advanced understanding of sea state and its climate.

CERC (and its predecessor the Beach Erosion Board) has operated wave gages at numerous U.S. sites during the last 30 years and has acquired a volume of shallow-water coastal wave records matched by few other places in the world. Most of the gages were mounted on coastal piers in water depths of 4 to 6 meters. Significant wave heights and periods from many of the gages have been summarized by Thompson (1977). Although none of the gages was capable of sensing wave direction, an array of gages was used at one site to obtain direction estimates (Esteve, 1977).

This report presents spectra and spectral summaries for the selected CERC gage locations shown in Figure 1; it contains 264 high-energy spectra which mostly represent shallow-water wave conditions. These spectra are a significant addition to the existing published literature on shallow-water spectra. Field measurements continually show that individual spectra are quite erratic and variable. To identify general spectral characteristics, this report contains summaries of approximately 7,000 spectra and selected spectral parameters; summaries of parameters of the sea-surface elevation distribution function are also included.

The scope of this report is limited. Fewer than one-half of the CERC gages for which spectra are available are included. Gages were selected for optimum coverage of U.S. coasts and optimum accessibility of archived spectra. Only a fraction of the available spectra from each gage was considered. For most gages, the spectra represent one

Figure 1. CERC wave gage locations.

reasonably complete year of data. It seems doubtful that more extensive summaries would be worth the requisite cost and effort until techniques for interpreting and applying spectra are better established.

This report is intended to provide coastal engineers a better qualitative understanding of shallow-water waves and spectra as well as providing quantitative information for engineering use. Qualitatively, it provides:

- (a) Perspective on how to interpret shallow-water wave energy spectra;

- (b) numerous examples of high-energy, shallow-water field wave spectra from the U.S. Atlantic, Pacific, gulf, and Great Lakes coasts;

- (c) evidence that the ratio of significant wave height to water depth never approaches the commonly used limit of 0.78 in the water depths considered; and

- (d) perspective on the likelihood of relatively high individual waves occurring in succession in a sea state.

Quantitatively, the report provides the following information helpful to coastal engineers:

- (a) Average spectra corresponding to the more common significant height and period combinations at each site;

- (b) frequency of occurrence of sea states with major secondary wave trains;

- (c) probability statistics for moments of the sea-surface elevation distribution function, which can be related to probabilities associated with instantaneous surface elevations above and below the local mean; and

- (d) a computer program for identifying major peaks and valleys in an irregular signal; it has many potential applications including identification of major peaks in a spectrum and identification of meaningful crests and troughs in a time series of sea-surface elevation.

A brief discussion of the physical characteristics of shallow-water ocean waves is presented in Section II. Wave gage data collection, analysis, and summarization techniques are described in Section III which includes a discussion of the motivation for grouping spectra by both significant height and dominant period and computing average spectra. Spectra computed for several theoretical cases of cnoidal wave profiles are presented for perspective in interpreting field spectra. The choice, computation, and interpretation of spectral parameters and sea-surface elevation distribution function parameters are also discussed in Section III.

The analyzed and summarized field wave spectra are discussed in Section IV which includes samples of spectra representing the highest measured wave conditions at each site, average spectra and summaries of parameters derived from the spectrum and the sea-surface elevation distribution function. Conclusions from the results in Section IV are provided in Section V. Major findings are summarized in Section VI. Numerous individual high-energy spectral plots are contained in Appendix A. Average spectra are included in Appendixes B and C.

II. PHYSICAL CHARACTERISTICS OF SHALLOW-WATER OCEAN WAVES

Wind waves change considerably when propagating from deep to shallow water. Consider a train of uniform waves moving toward shore with crests oriented parallel to the bottom contours. The wave height first decreases slightly and then increases continually as the waves move into progressively shallower water. The phase velocity, group velocity, and wavelength all decrease with decreasing water depth. Wave energy is generally reduced as waves propagate shoreward.

It appears contradictory that the wave height in a train of uniform waves moving shoreward increases while the energy decreases. Yet the two effects occur together mainly because the wave profile changes. The profile of a wave in deep water can usually be considered sinusoidal unless the waves are exceptionally high in relation to their wavelength. However, the profile of a steep wave in very shallow water is decidedly nonsinusoidal (Fig. 2). The troughs are broad and flat, and the crests are narrow and high. Deviation of the wave profile from sinusoidal becomes important when the relative water depth (ratio of water depth to wavelength) is less than 0.04 for wind waves with very low steepness (ratio of wave height to wavelength). Nonsinusoidal profiles also occur for relative depths greater than 0.04 when the wave steepness is greater than about 0.0015; i.e., for ratios of wave height to the product of gravitational acceleration times wave period squared greater than 0.00006 (U.S. Army, Corps of Engineers, Coastal Engineering Research Center, 1977, p. 2-35). For waves with very high steepness (greater than 0.0063 or height divided by gT^2 greater than 0.001), nonsinusoidal profiles occur in all water depths.

Since phase velocity of an ocean wave decreases with decreasing water depth, a long wave crest approaching shore at an angle to the bottom contours has a nonuniform phase velocity along the crest. The velocity differences result in an apparent bending, or refracting, of the crest which decreases the angle between the crest and the bottom contours. Refraction can affect a wave when the relative water depth is less than one-half. Relative depths for the gages in this report range typically from 0.04 to 1. Hence, refraction has potentially affected many of the wave measurements considered in this study. Refraction can act to increase or decrease wave heights depending on wave period and direction and bottom contour orientation. Since most of the gages are sufficiently nearshore that large waves can move bottom sediment seaward of the gage, the bottom contour orientation affecting refraction can change with time.

Figure 2. Wave profiles and energy spectra for several cnoidal wave cases (record length: 512 seconds; spectral bandwidth: 0.00977 hertz).

Another very important phenomenon is wave breaking, which for monochromatic waves occurs in deep water approximately when the wave steepness exceeds 0.14 or in shallow water when the ratio of wave height to water depth exceeds 0.78. The heights of individual nearshore waves are actually quite variable but generally conform to a Rayleigh distribution (Thompson, 1974; Goda 1974; U.S. Army, Corps of Engineers, Coastal Engineering Research Center, 1977). Thus, 13.5 percent of the waves can be expected to be higher than the significant height and break farther seaward than a wave with the significant height. Breaking of a few very large waves does not visibly affect the significant height, but when the depth-induced breaking starts to affect many large waves, the significant height decreases. Quantitative predictions of the decrease in significant height as waves begin to break nearshore are given by Seelig and Ahrens (in preparation, 1980) for a variety of wave steepnesses and beach slopes. Their design curves, based on theory developed by Goda (1975), indicate that breaking can begin to affect significant height when the ratio of significant height to water depth is greater than about 0.5. This general observation is consistent with field wave gage data presented by Irie (1975). For a typical water depth at the gage of 5 meters, breaking might be expected to have affected all significant heights greater than about 2.5 meters.

The large effect wave breaking can have on significant height measured at most of the CERC gages is shown in Figure 3. The figure shows a time history of significant wave height for three Atlantic coast gages during a large winter storm. The standard Nags Head, North Carolina, gage is represented along with two staff gages on the new CERC Field Research Facility (FRF) pier 30 miles north of Nags Head. The FRF gage farthest seaward shows peak significant heights about 1 meter higher than the FRF gage 390 meters farther shoreward. During the peak of the storm, significant heights at the Nags Head gage drop well below heights at the seaward FRF gage. The figure suggests that breaking has a major influence on significant height at both the nearshore FRF gage and the Nags Head gage.

Figure 3. Time history of significant wave height for three North Carolina gages during a large winter storm.

For some of the gage sites included in this report, and perhaps for all except the accelerometer buoy sites, a sandbar was often seaward of the gage. The bar is a somewhat transient feature which can have a major influence on the waves by causing high waves to break before they arrive at the gages and by acting to reflect energy seaward or trap it between the bar and shore. Evidence presented by Wang and Yang (1976) indicates the bar acts mainly as an energy dissipator at low tide. At high tide for nonbreaking waves, the bar tends to reflect wave energy seaward during onshore winds and to trap wave energy between the bar and beach during offshore winds.

Since ocean waves in a sea state are never strictly uniform in either height or frequency, a sea state is conveniently characterized by the distribution of wave energy as a function of frequency (the spectrum). During active wave growth, most energy from the atmosphere is added at frequencies slightly higher than the dominant frequency. The energy is then redistributed by nonlinear transfers within the wave field to frequencies slightly lower than the dominant frequency and to very high frequencies where it is lost to breaking processes. These phenomena result in a gradual shift of the spectral peak to lower frequencies.

For waves propagating outside the wave-generation area the nonlinear energy transfer to low and very high frequency continues without the accompanying replenishment of energy at midfrequencies. Thus, swell waves are characterized by narrow spectra with peaks at low frequency.

There is evidence that the intensity of nonlinear energy transfer increases rapidly with decreasing water depth. The nonlinear transfer may also narrow the directional spread of energy in shallow-water waves (Herterich and Hasselmann, in preparation, 1980).

Aerial photos of nearshore ocean waters indicate remarkable organization in the surface waves (Fig. 4); the photos also indicate that sea states dominated by a single visible wave train are relatively unusual. Photos typically show two or three apparently independent wave trains with different, well-defined directions and wavelengths. The relative prominence of the trains often varies with proximity to shore due to frequency-dependent shoaling and refraction, and in some cases due to redistribution of energy with frequency by nonlinear interactions between trains.

For example, although a long swell wave train often dominates the breaker zone in photos taken along the southern California coast, the train is invisible two or three wavelengths seaward of the breaker zone. The swell waves are preferentially amplified nearshore. McClenan and Harris (1975) show several examples of this process occurring.

The swell waves are also refracted more completely than higher frequency waves so that at breaking they are often nearly parallel to the shore. The water particle velocity associated with low-frequency swell waves attenuates slowly with depth. High-frequency waves which have not been substantially refracted can travel into the swell-created breaker zone with a large component of longshore motion; yet, their particle velocities attenuate rapidly with depth. Since longshore sediment movement requires both a mechanism for initiating sediment movement and a longshore drift to transport it, two concurrent wave trains may move sediment alongshore more effectively than either train acting alone.

The presence of a current near a gage site can alter the measured characteristics of waves. The longshore current in and near the breaker zone affects some of the gage measurements in this report. Tidal currents and wind-generated currents may also affect the measurements. The Gulf Stream may affect deepwater waves approaching the U.S. Atlantic coast by steepening waves enough to cause breaking or, in special cases, by refracting the waves so that they never reach the shore (Kenyon, 1971). The rate of wave growth in the vicinity of the current may also be affected.

III. WAVE GAGE DATA COLLECTION AND ANALYSIS

1. Collection.

The wave gage data used in this report were collected as part of the CERC field wave data collection program from 11 gages at the 9 locations

Figure 4. Aerial photo showing multiple wave trains along the southern California coast 8 kilometers south of Port Hueneme on 29 March 1977 (courtesy of National Aeronautics and Space Administration).

shown in Figure 1. Table 1 gives some details of the gage locations, gage types, and water depth at the gages. The gage designs and characteristics are described in detail by Thompson (1977), and for the Great Lakes accelerometer buoy installations in Thompson (1978).

The continuous-wire staff gage (manufactured by the Baylor Company, Houston, Texas) is the more accurate sensor because the gage directly senses surface waves and it interferes very little with waves being measured. The continuous-wire gage and the pressure gage (fabricated at CERC) give comparable surface wave height estimates when the pressure-gage record is compensated for the depth-dependent attenuation of the dynamic component of pressure due to surface waves. Suitably compensated pressure-gage spectra are also comparable to continuous-wire gage spectra except at high frequencies (Esteva and Harris, 1970).

The accelerometer-buoy gage or Waverider (manufactured by Datawell, Haarlem, the Netherlands) gives wave heights and spectra which are reasonably comparable to those given by the continuous-wire gage for frequencies between 0.065 and 0.5 hertz, including virtually all important frequencies in the Great Lakes (Pitt, Driver, and Ewing, 1978). The step-resistance staff gage (fabricated at CERC) has been shown to have a consistent bias toward high wave heights (Esteva and Harris, 1970). Significant height estimates from the step-resistance gage may be 30 centimeters too high during low wave conditions and 20 percent too high during high wave conditions. Aside from the tendency for over-estimating wave height and energy, Esteva and Harris show evidence that spectra for step-resistance gage records are reasonably consistent with continuous-wire gage spectra.

Time series of sea-surface elevation from staff gages, subsurface pressure from pressure gages, and double-integrated sea-surface vertical acceleration from buoy gages were transmitted by telephone line to the CERC laboratory and used to generate pen-and-ink, strip-chart records and digital records on computer-compatible, seven-track magnetic tape. Digital records are 20 minutes long. A digital record from a single station contains data points at 0.25-second intervals giving a total of 4,800 data points per location per 20-minute record. Further details of CERC field wave data collection procedures are given in Thompson (1974, 1977).

2. Analysis.

a. Spectral Analysis. The digital field wave gage records were analyzed by the CERC routine analysis system (Thompson, 1977). One record per 6 hours is routinely analyzed; however, one record per 2 hours was analyzed for some of the Great Lakes data. The routine analysis times are chosen to approximately coincide with synoptic times (0100, 0700, 1300, and 1900 e.s.t.). The procedure includes editing each 20-minute time series to eliminate data points which are obviously bad, computing and testing the distribution function of the edited data points, and computing the variance or energy spectrum for the time series

Table 1. Information on wave gage locations.

Location	Coordinates	Gage type	Date ¹	Water depth (m below MSL)	Height of gage zero above sand (m)
Atlantic City, N.J. Virginia Beach, Va.	39°21' N. 74°25' W.	Step resistance	Nov. 1968 to Oct. 1969	5.2	2.8
	36°51' N. 75°58' W.	Step resistance	Nov. 1968 to Oct. 1969	6.1	3.8
Nags Head, N.C. Lake Worth, Fla.	35°55' N. 75°36' W.	Step resistance	Nov. 1968 to Oct. 1969	5.2	3.0
	26°37' N. 80°02' W.	Step resistance	Nov. 1968 to Oct. 1969	5.5	4.0
Naples, Fla.	26°08' N. 81°49' W.	Step resistance	Nov. 1968 to Oct. 1969	5.2	4.0
Michigan City, Ind. Michigan City, Ind.	41°45.4' N. 86°59.0' W.	Buoy	Sept. to Nov. 1975	18.3	--- ²
	41°42.0' N. 86°59.5' W.	Pressure	Sept. to Nov. 1975	7.0	3.5
Presque Isle, Pa. Presque Isle, Pa.	42°13.5' N. 80°07.0' W.	Buoy	Sept. to Nov. 1975	19.0	--- ²
	42°10.2' N. 80°07.1' W.	Pressure	Sept. to Nov. 1975	4.6	2.3
Huntington Beach, Calif. Pt. Mugu, Calif. (Gage No. 3)	33°39' N. 118°00' W.	Continuous wire	Feb. to Dec. 1972	9.1	5.2
	34°07' N. 119°09' W.	Pressure	Feb. to Dec. 1972	9.4	6.2

¹Dates for data included in this report.²Not applicable.

smoothed with a cosine bell data window. The spectrum is computed with a fast Fourier transform (FFT) algorithm applied to 4,096 data points (17-minute and 4-second record).

The spectral analysis procedure assigns a fraction of the total variance of the record to each of 1,024 frequencies, or frequency lines. It is difficult to deal with such finely resolved spectra. To gain statistical stability, groups of 11 successive lines in each spectrum are combined into bands. The resultant band width is 0.01074 hertz over the full range of frequencies considered (0.03 to 1.00 hertz). The uniform spectral resolution over the frequency range gives a nonuniform resolution in wave period. Significant height is estimated as four times the square root of the total variance assigned to wave frequencies of interest, usually 0.03 to 1.00 hertz.

The frequency-dependent responses of some of the gage types required some special treatment of the spectra. Accelerometer-buoy gage spectra were terminated at the high-frequency end at 0.5 hertz. A special low-frequency bound of about 0.065 hertz on the spectrum would have been appropriate but was not used because energy at frequencies between that and the low-frequency cutoff for other gage types (0.03 hertz) was insignificant in the Great Lakes buoy records.

Pressure-gage records were compensated for hydrodynamic attenuation of the pressure signal with depth by multiplying the energy in each spectral band by a frequency-dependent factor. The compensation factor becomes very large at high frequencies where the small pressure signal is often obscured by noise. Hence, it is necessary to terminate the high-frequency end of pressure-gage spectra at a frequency below the standard 1-hertz cutoff for staff gages. The high-frequency cutoff used for the Michigan City and Presque Isle pressure gages is 0.33 hertz. The cutoff for the Pt. Mugu pressure gage is 0.31 hertz. All spectral energy assigned to frequencies above the cutoffs was omitted from both the spectrum and the significant height estimate. The neglected energy is unimportant during high wave conditions, but it can increase significant height by as much as 0.5 meter during low recorded wave conditions. The latter effect is often partially balanced by slight overcompensation of the high-frequency energy retained in the spectrum.

b. Spectral Summarization.

(1) Averaging. Individual shallow-water ocean wave energy spectra are quite irregular and can change substantially and somewhat erratically with time (see Fig. 5). Because of these problems, it is difficult to identify typical spectra for each wave gage site. However, it is desirable to condense the masses of individual spectra into a more concise form.

At this time, no completely satisfactory technique is in use for isolating and summarizing general characteristics of field spectra. One

Figure 5. Sequence of spectra computed at 6-hour intervals for Nags Head, North Carolina, beginning at 0642 e.s.t., 20 April 1969. H_s is 190 centimeters for the top spectrum decreasing to 97 centimeters for the bottom spectrum. Major spectral peaks are marked with asterisks.

common approach is to compute spectral statistics which are often based on moments of the spectrum, where the n th spectral moment, m_n , is defined as

$$m_n = \int_0^{\infty} S(f) f^n df \quad (1)$$

where f is the frequency and $S(f)$ the spectral energy at frequency f . This approach is used in many cases because it is the only tractable way to deal with large numbers of spectra. However, some of these spectral statistical parameters have disadvantages which will be discussed later. A relatively new and promising approach to spectral parameterization involves the use of eigenfunctions (Vincent and Resio, 1977).

Another approach to the problem of how to characterize and summarize ocean wave spectra has been used in conjunction with ship-response prediction. Hoffman (1974) organized deepwater spectra into 10 groups from a site in the North Atlantic according to significant height. For each group, the mean and standard deviation of spectral energy in each band were computed. The average energy of the one-third highest and one-third lowest values in each band was also computed. The importance of accounting for variability of spectral shape when predicting ship-bending moment responses was demonstrated.

An averaging procedure for summarizing deepwater spectra from a site in the North Atlantic was also used by Gospodnetic and Miles (1974). Spectra were grouped according to both significant wave height and average wave period. The average spectra were made dimensionless to facilitate comparison of spectral shapes. Although most of the variations were removed by using the dimensionless format, it was concluded that even the dimensionless spectra vary systematically with both height and period.

In very shallow water, spectral characteristics would be expected to have a pronounced systematic relationship to both significant height and dominant spectral period, although the relationship would be somewhat obscured when major secondary wave trains exist. Wave height is important because high waves lead to wave breaking in shallow water. Thus, any reasonable attempt to compute average spectra at a shallow-water location must include stratification of the spectra according to wave height or energy.

The extent of wave shoaling is specified by the relative water depth, d/L_p , which is directly related to the dominant wave period. Waves in very shallow water assume a nonsinusoidal profile which gives rise to spectra with peaks at multiples of the dominant frequency. An example of the profile and spectrum for several cnoidal wave cases is shown in Figure 2; another example is an aerial photo spectrum pair in McClenan and Harris (1975, p. 63-64). Because of such systematic effects on the shallow-water spectrum, spectra should also be stratified by d/L_p or by a characteristic wave period.

Deviations of the wave profile from sinusoidal occur even in deep water for very steep waves as discussed previously. Spectra for such waves can also be expected to show energy at multiples of the dominant frequency. In such cases the spectral form is related to both wave height and period.

Because of expected systematic influences of wave height and period on the shallow-water spectrum, spectra in this study were grouped into sets by both significant height and period corresponding to the highest spectral peak. Significant height and peak period are convenient because they are comparable to height and period parameters used extensively in past nonspectral wave analyses.

Despite the grouping, major differences between individual spectral shapes in each set can still occur. Differences between spectra at one location for several cases in which the significant height and peak period were near the annual means are shown in Figure 6. The differences in spectral shape between individual spectra in a set were greater than the differences in the mean spectrum between sets in this study and in Hoffman's (1974) study. However, any systematic characteristics of each set should emerge in the mean spectrum and standard deviation if the number of samples is sufficient. This does not imply that the mean spectra necessarily represent characteristics.

Figure 6. Several individual spectra in the same $H_s - T_p$ group ($H_s = 91$ to 122 centimeters; $T_p = 8.5$ to 9.3 seconds), Nags Head, North Carolina.

Spectra were grouped by 30-centimeter intervals of significant height and by variable intervals of peak period (Table 2). Variable period intervals were needed because energy is computed for nonuniform period bands (but uniform frequency bands) in the CERC spectral analysis program. Selection of longer period intervals was necessary so that each interval encompasses only one spectral band. If the intervals had included more than one spectral band, the averaging procedure would tend to produce an unrepresentatively low and broad peak in the average spectrum.

Table 2. Peak period grouping intervals.

Period interval (s)	Frequency bands in interval (No.)	Period interval (s)	Frequency bands in interval (No.)
1 to 2	47	7.8 to 8.5	1
2 to 3	15	8.5 to 9.3	1
3 to 4	8	9.3 to 10.3	1
4 to 5	4	10.3 to 11.6	1
5 to 6	4	11.6 to 13.3	1
6 to 6.6	1	13.3 to 15.5	1
6.6 to 7.2	1	15.5 to 18.6	1
7.2 to 7.8	1	18.6 to 23.3	1

Period intervals of 1 second were used for periods shorter than 6 seconds (Table 2). Individual spectra with relatively short peak periods are generally more poorly focused in frequency than spectra with long peak periods. Thus, period intervals encompassing more than one spectral band could be used for short periods without creating much artificial broadening of the peak in the average spectrum. This treatment is further defensible on the grounds that (a) short-period waves are in relatively deeper water than long-period waves at the measurement site and hence exhibit less depth-induced modification, and (b) spectra with peak periods shorter than 6 seconds are generally not important for coastal engineering design applications at ocean sites.

After the spectra were grouped into height-period intervals, average spectra were computed. Intervals were selected for averaging to include all intervals containing a reasonable number of cases and all high wave intervals regardless of the number of cases. The minimum number of cases considered for low and moderate waves was 10 at most locations. The wave height above which all intervals were considered varies between locations. The average spectrum for a height-period interval was obtained by averaging energy values in each band. The standard deviation of spectral energy values about the mean for each band was also computed. Averages and standard deviations of spectra for the locations listed in Table 1 are discussed in the next section.

It is important to note that the spectrum for any individual record never duplicates the mean spectrum. Any application which is sensitive to the precise form of the spectrum should make use of individual spectra rather than the mean spectrum. One reasonable approach might be to select a small random sample of spectra from each group, apply each spectrum to

the problem at hand, and combine the individual results to estimate response probabilities, as suggested by Hoffman (1974, 1975).

(2) Spectral Parameters.

(a) Number of Peaks. It is relatively common in the ocean for two or more independent wave trains with different frequency and direction to occur simultaneously (e.g., Harris, 1972; McClenan and Harris, 1975; Ochi and Hubble, 1976; Thompson, 1977). The marine surface observation reporting code even includes provisions for reporting major secondary wave trains observed visually. Certainly, the concept of a simple, single-peaked spectrum is misleading in many ocean wave records. Yet, there is a lack of reliable quantitative information as to how often major secondary trains occur and how much energy they contain.

To investigate the occurrence of multiple wave trains, a computer routine was adapted for use in identifying major spectral peaks. The routine (described in App. D) smoothes over spectral peaks and valleys which differ in energy density by less than 3 percent of the total energy in the spectrum. Examples of individual spectra were shown in Figure 5. The major peaks identified in each case by the computer routine are marked with asterisks.

A fraction of the spectral energy is assigned to each major spectral peak by a simple method. The spectrum is partitioned at the lowest point between successive major peaks (Fig. 7). All spectral energy within the partition for a peak is assigned to that peak. The energy is expressed as a dimensional quantity and as a fraction of the total spectral energy.

Figure 7. Technique for partitioning spectral energy and assigning the energy to major peaks.

Statistics on the occurrence of multiple spectral peaks identified by the above techniques (presented in the next section) are based only on the part of the spectrum between 0.03 and 0.5 hertz for the staff and accelerometer-buoy gages. The high-frequency cutoff for the pressure gages was 0.33 hertz in the Great Lakes and 0.31 hertz at Pt. Mugu as discussed earlier.

(b) Spectral Peakedness. It is highly desirable to parameterize a spectrum. Various parameters have been considered in the literature, but most are derived from moments of the spectrum as defined in equation (1).

In practical application equation (1) becomes

$$m_n = \int_{f_{LF}}^{f_{HF}} S(f) f^n df \quad (2)$$

where f_{LF} is the low-frequency spectral cutoff, and f_{HF} the high-frequency spectral cutoff used for computation. When applied to field records of finite length, the integral in equation (2) becomes a summation.

Equation (2) shows that the higher the value of f_{HF} , the greater the magnitude of m_n provided that $S(f)$ is greater than zero at high frequencies. In practice, the choice of f_{HF} has a significant influence on the values computed for m_n , especially for the higher moments. The choice of f_{LF} is less ambiguous in practice because contributions to m_n from the low-energy and low-frequency part of the spectrum are generally negligible.

The sensitivity of m_n and parameters based on m_n to the choice of f_{HF} was investigated by Rye (1977). He considered two theoretical spectral shapes: a sharply peaked JONSWAP spectrum and a flat JONSWAP spectrum equivalent to a Pierson-Moskowitz spectrum. Rye recommended three spectral parameters for general use:

(a) Significant wave height, H_s where

$$H_s = 4\sqrt{m_0} \quad (3)$$

(b) period of the spectral peak, T_p

(c) spectral-peakedness parameter, Q_p where

$$Q_p = \frac{2}{m_0^2} \int_0^{\infty} f[S(f)]^2 df \quad (4)$$

The spectral-peakedness parameter was originally proposed and shown to be directly related to the average number of high waves occurring in

succession in simulated wave records by Goda (1970). However, Goda (1976) could not find a clear relationship between Q_p and the extent of grouping of high waves in field records.

The value of Q_p as a spectral parameter is shown in Figure 8. The two spectra shown have nearly the same significant height and peak period. The spectra also have comparable values of the frequently used spectral-width parameter, ϵ , defined as

$$\epsilon^2 = 1 - \frac{m_0^2}{m_0 m_4} \quad (5)$$

However, Q_p for one spectrum is 66 percent greater than for the other, indicating substantial differences in spectral shape.

Figure 8. Comparison of two measured spectra from the North Atlantic Ocean with $H_g = 3.3$ meters, $T_p = 10.5$ seconds, and $\epsilon = 0.61$ (after Hoffman, 1974).

Both Q_p and ϵ are designed to fit the concept of a single-peaked spectrum, but multi-peaked ocean wave spectra are commonly observed. The suitability of spectral parameters for representing multi-peaked field wave spectra has received little attention in the literature, although Ochi and Hubble (1976) have developed a computationally complicated technique for parameterizing field spectra with two major peaks.

Since Q_p seems to be a potentially important and useful parameter, it was computed for each of the spectra considered in this report. Q_p was based on the part of the spectrum between 0.03 and 0.2 hertz for staff and accelerometer-buoy gages, between 0.03 and 0.33 hertz for the Great Lakes pressure gages, and between 0.03 and 0.31 hertz for the Pt. Mugu pressure gage.

c. Parameters of Distribution Function of Sea-Surface Elevation.
The distribution function for instantaneous sea-surface elevations provides useful insight on shallow-water spectra and spectral parameters. It also provides probabilities associated with instantaneous surface elevations above the mean.

The distribution function of sea-surface elevations can conveniently be parameterized by its moments defined as:

$$q_n = \sum_{i=1}^N \eta_i^n p(\eta_i) \quad (6)$$

where

q_n = n th moment of the distribution function of sea-surface elevations

N = number of intervals in the distribution function

η_i = sea-surface elevation associated with the i th interval in the distribution function

$p(\eta_i)$ = probability associated with η_i

The zeroth and first moments, q_0 and q_1 , are equivalent to the mean and variance of the distribution function. q_3 and q_4 are often referred to as the skewness and kurtosis of the distribution function.

The distribution function of sea-surface elevations is often assumed to be Gaussian. When normalized, the Gaussian distribution function has a mean of zero, a variance of one, a skewness of zero, and a kurtosis of three.

Steep waves in shallow water assume a decidedly nonsinusoidal profile with broad, flat troughs and narrow, high crests. The distribution of sea-surface elevations measured at a point is obviously non-Gaussian.

The skewness and perhaps the kurtosis of surface elevations in very shallow water can be expected to differ from the values for a normalized Gaussian distribution. For the cnoidal wave profile in Figure 9, the skewness and kurtosis are equal to 2.0 and 5.8, respectively. The figure also shows the distribution function, skewness, and kurtosis for several idealized wave profiles.

Figure 9. Distribution function of sea-surface elevations for several idealized wave profiles.

Both skewness and kurtosis for the normalized distribution function of sea-surface elevations were computed for each record and are summarized in the following section. For the accelerometer-buoy data, the distribution functions represent doubly integrated vertical-surface accelerations rather than directly measured sea-surface elevations. For the pressure-gage data, the distribution functions represent sub-surface pressure fluctuations.

IV. SPECTRA, SPECTRAL SUMMARIES, AND SEA-SURFACE ELEVATION DISTRIBUTION FUNCTION PARAMETERS

1. High-Energy Wave Spectra.

Since high-energy spectra are of greatest concern in most engineering applications, plots of individual spectra containing the most energy for each station are provided in Appendix A. Spectra are grouped by gage location in the order listed in Table 1. The appendix includes 24 spectra for each location, arranged and numbered in descending order of significant height.

Each plot in Appendix A shows the significant wave height, period corresponding to the highest spectral peak, spectral-peakedness parameter (Q_p), and number of major spectral peaks identified by the computer routine *SMOOTH* (App. D). Spectral plots for days associated with tropical storms and hurricanes are labeled accordingly. The energy density scale can vary between plots.

Pressure-gage spectra in Appendix A are cut off at high frequencies above 0.33 hertz for the Great Lakes gages and 0.31 hertz for the Pt. Mugu gage. Several of the Pt. Mugu high-energy spectra were obviously overcompensated for attenuation of pressure with depth so that the high-frequency energy was much greater than the low-frequency energy. These spectra were omitted from Appendix A. This problem can arise because the high-frequency cutoff is constant while the compensation increases as the depth of water over the gage increases with the tide. Thus, the high-frequency end of the spectrum is sometimes overcompensated when water levels are high. This problem did not arise with pressure-gage spectra in the Great Lakes (where there is no tide).

Energy computed for wave periods longer than 30 seconds (frequencies less than 0.043 hertz) does not directly represent wind-generated waves. In some cases it is questionable whether such very long-period energy has any physical meaning. Therefore, it was omitted from spectral plots for the Great Lakes and Pacific coast locations and should be ignored in plots for the Atlantic and gulf coast locations.

Spectral plots for each location in Appendix A are followed by a table which contains auxiliary data for each plot, including sequential number, date, time, significant wave height, peak wave period, water depth, relative water depth (where L_p is the shallow-water wavelength for a wave with frequency equal to the frequency of maximum spectral energy density), ratio of significant wave height to water depth, wave steepness, ratio of depth to gL_p^2 (which is related to wavelength), spectral-peakedness parameter, and skewness and kurtosis of the distribution function of sea-surface elevations. Wavelength used in the tables was computed in each case from period corresponding to the highest spectral peak and the equations of linear wave theory. The largest ratio of significant wave height to depth is 0.54. None of the significant height-to-depth ratios approach the commonly used limit of 0.78.

Multiple major spectral peaks are evident in many of the spectra in Appendix A. However, some of the multi-peaked spectra and many of the single-peaked spectra appear to approximate the form of the JONSWAP spectrum for waves undergoing active generation (Hasselmann, et al., 1973). Only seven of the spectra selected for inclusion in Appendix A were collected during hurricanes. This small sample does not illustrate any clear differences between spectra generated by hurricanes and spectra generated by extratropical storms.

2. Mean Spectra.

a. Grouped by Height and Period. Spectra from each gage site were grouped into sets by significant height and peak spectral period. Intervals of 30.5 centimeters were used for significant height; intervals of about 1 second were used for peak period (Table 2).

Mean spectra were plotted for all height-period intervals containing more than one case with heights greater than a cutoff height, which varied with location. In addition, mean spectral plots were generated for height-period intervals with heights below the cutoff if there were a reasonably large number of cases in the interval. This approach provided mean spectral plots for the most statistically meaningful low wave cases and for all high wave cases. Energy computed for periods longer than 30 seconds was omitted from plots for Great Lakes and Pacific coast locations as discussed earlier.

The mean spectral plots grouped by location are given in Appendix B. The approximate relative water depth and ratio of significant wave height to water depth for each location are listed in Table B-1; the dates covered are in Table 1.

The range of significant wave height and peak spectral period represented and the number of cases are listed on each plot. Each plot representing more than one case contains several dashlines in addition to the solid line showing the mean spectrum. The dashline nearest the mean and above it represents the mean plus one standard deviation. Similarly, the dashline nearest the mean and below it represents the mean minus one standard deviation. When the mean minus one standard deviation is negative, it is plotted as zero. For plots representing more than two cases, another dashline above the mean shows the highest single-energy density value occurring in each band. This line forms an envelope inside which all of the individual spectra would fall. The dashlines should not be treated as a spectrum, and are included only as indicators of the observed variability of energy density in each spectral band. Some of the plots show a relatively large concentration of energy at the lowest frequency (0.005 hertz). This concentration is at a frequency which is irrelevant to wind-generated gravity waves and should be ignored.

The mean spectral plots for each location in Appendix B are arranged in order of increasing significant height and peak period in most cases; i.e., all mean spectra in a column represent the same peak-period interval

and all mean spectra in a row represent the same significant height interval. Thus, the effect of increasing height on spectra with similar peak periods and the effect of increasing peak period on spectra with similar heights can be easily followed. A small number of plotted mean spectra are not included in Appendix B because the spectra (a) usually represented low or moderate wave heights with relatively few cases or high wave heights with only one case for which the spectrum is shown in Appendix A, or (b) were high-energy cases for Pt. Mugu which had obviously been overcompensated for attenuation of pressure with depth.

Mean spectra for low wave heights and peak periods less than 5 seconds along the Atlantic coast show evidence of secondary peaks at low frequency in many cases. The low-frequency peaks are broad and flat, are generally located near 0.1 hertz, and are likely to represent low-energy swell in the Atlantic Ocean. Corresponding broad, low-frequency secondary peaks are also evident in mean spectra for Pt. Mugu on the Pacific coast. These peaks are not evident in mean spectra for the gulf and Great Lakes gages, although the Presque Isle pressure-gage spectra show a sharp secondary peak which is consistently centered on 0.038 hertz. This low-frequency peak at Presque Isle may not be physically meaningful. For all locations, the differences between mean spectra are smaller than the differences between the individual spectra used to compute the means.

Mean spectra from the ocean gages for peak periods longer than about 10 seconds show evidence of secondary peaks at high frequency, especially for high wave heights. For example, several selected mean spectra for high wave, long-period groups are plotted in Figure 10 in dimensionless form. Energy density was made dimensionless for each mean spectrum by dividing by the total energy in the spectrum and converting to percent; frequency was made dimensionless by dividing by the peak frequency.

The figure clearly shows that the main secondary peak in each mean spectrum is at twice the peak frequency. The spectrum for one cnoidal wave (see Fig. 2) is also shown to clearly resemble the field spectra. A section of the Lake Worth pen-and-ink strip-chart record taken at nearly the same time as the Lake Worth spectrum in Figure 10 is shown in Figure 11. The profiles of the larger waves closely resemble the cnoidal wave profile. Many of the high-frequency secondary peaks in mean spectra for high, long-period waves are probably indicative of cnoidal-type wave profiles. Spectra for high, long-period waves in which secondary peaks at about two or three times the peak frequency represent independent wave trains are expected to be rare.

The plots in Appendix B also show interesting variations with wave height in mean spectra with short peak periods. In some cases, the mean spectra for high waves exhibit secondary peaks at low frequency. The emergence of the low-frequency secondary peaks for Nags Head is shown by dimensionless spectra plotted in Figure 12. The figure also indicates that the largest secondary peak is at one-half the frequency of the dominant frequency. A section of pen-and-ink strip-chart record corresponding to two high wave, short-period cases is shown in Figure 13.

Figure 10. Dimensionless mean spectra for several high wave, long-period groups and for the cnoidal wave shown in Figure 2, case 3.

Figure 11. Section of pen-and-ink strip-chart record from Lake Worth, Florida, beginning at 0810 e.s.t., 20 February 1969. Parameters are derived from analysis of a digital record beginning at 0723, e.s.t., 20 February 1969. Individual waves with height greater than H_s are marked by asterisks.

Figure 12. Dimensionless mean spectra for several high wave, short-period groups at Nags Head, North Carolina.

Figure 13. Two pen-and-ink strip-chart records and corresponding spectra from Nags Head, North Carolina. (a) Record at 0800 e.s.t., 20 April 1969; analysis at 0642 e.s.t., 20 April 1969; (b) record at 2000 e.s.t., 1 March 1969; analysis at 2040 e.s.t., 1 March 1969.

In one case the spectrum has a single dominant peak; in the other case the spectrum has a large peak at one-half the dominant frequency and another major peak at about 1.5 times the dominant frequency.

A laboratory parallel of this behavior has been observed by Sawaragi and Iwata (1976). A single-peaked spectrum was generated in shallow water of uniform depth. As the waves propagated and broke, an increasing fraction of the energy shifted to frequencies which are multiples of the dominant frequency, f_p . Eventually, the spectral peak at $2f_p$ was higher than the peak at f_p .

The individual spectral points used in computing mean spectra are plotted for Lake Worth in Appendix B. The distribution of the spectral points about the mean for each band is decidedly unsymmetric in most cases. The distribution usually has a high positive skewness indicating some relatively high values above the mean in the distribution which are not offset by correspondingly low values below the mean. However, the distribution of spectral points about the mean for the band of maximum energy density tends to be more symmetric and more nearly Gaussian.

Third and fourth moments of the normalized distribution of spectral points in selected bands were computed for all locations considered in this report. The moments are defined analogous to the moments of the distribution function of sea-surface elevations (eq. 6). For all locations, both the third and fourth moments are generally lowest in the vicinity of the spectral peak indicating a relatively symmetric and broad distribution about the mean.

Typical variations of the third and fourth moments of the normalized distribution of spectral points as a function of frequency are shown in Figures 14 and 15 for mean spectra with peak periods between 7.2 and 7.8 seconds at Nags Head. The third and fourth moments in the band of peak energy density actually fall below the values for a normalized Gaussian distribution. Deviations from the normalized Gaussian distribution over all frequency bands are generally less for high-energy spectra than low-energy spectra. It may be concluded that the normalized Gaussian distribution gives a reasonable representation of the scatter of individual spectral energy densities about the peak of each mean spectrum in Appendix B, but it is not reliable for representing scatter about lower energy bands away from the peak.

Seasonal variations in the mean spectrum for each height-period interval were studied for Nags Head. Four 3-month seasons were selected using the seasonal summaries in Thompson (1977) as a guide. Mean spectra were computed for winter (December, January, and February) and for summer (June, July, and August). Seasonal differences in the mean spectra did not appear to be significant. The number of cases per height-period interval was considerably reduced when the spectra were grouped by season. Hence, extensive seasonal summarization was not considered in this study.

Figure 14. Third moment of the normalized distribution of spectral points in each frequency band as a function of frequency and H_s for Nags Head, North Carolina ($T_p = 7.2$ to 7.8 seconds).

Figure 15. Fourth moment of the normalized distribution of spectral points in each frequency band as a function of frequency and H_s for Nags Head, North Carolina ($T_p = 7.2$ to 7.8 seconds).

Comparison of mean spectra in Appendix B for the same height-period intervals at different locations provides additional perspective. Mean spectra for the Atlantic coast locations are generally quite similar to each other (Fig. 16, a and b). Mean spectra for the gulf coast location differ from the Atlantic coast spectra in that spectral energy at frequencies lower than 0.1 hertz is absent in virtually all cases. Mean spectra for the Great Lakes locations show an even more pronounced absence of low-frequency energy, especially for low wave conditions. This effect is illustrated in Figure 16a. The absence of low-frequency energy gives the gulf and Great Lakes mean spectra a tendency to have higher peaks than the mean spectra for corresponding height-period groups at Atlantic coast locations.

Mean spectra for the Pacific coast locations show that peak periods longer than 10 seconds are more common than for Atlantic coast locations. Reduced amounts of energy are also shown at frequencies higher than about 0.15 hertz in comparison to Atlantic coast spectra with long peak periods (Fig. 16b). Hence, the Pacific coast long-period mean spectra generally have higher peaks than their counterparts for the Atlantic coast. The few Pacific coast mean spectra shown with peak periods shorter than 9 seconds also show a distinct swell energy concentration at frequencies less than 0.1 hertz.

b. Grouped by Height, Period, and Water Level. Numerous field studies have indicated that shallow-water spectral shape is dependent on local water depth (e.g., Barnett 1969; Hasselmann, et al., 1973; Wang and Yang, 1976). Therefore, many of the results in this report are expected to be strongly influenced by the water depth at the gage site. The higher wave conditions at many of the sites can represent situations where waves occasionally break seaward of the gage, or, in the extreme, cases where the gage is actually in the surf zone as discussed in Section II.

To give more perspective on the systematic influence of water depth on spectral shape, the spectra within each significant height-peak period interval for the gage at Nags Head were further grouped according to mean water level in the record. Three water level groups were arbitrarily defined. Plots of average spectra by water level for height-period intervals in which there were a reasonably large number of cases are shown in Appendix C. Each plot is labeled with the height and period intervals represented and with a mean water level designator. A mean equal to 1 indicates the mean water level was relatively low; a mean of 2 indicates midtide water levels and 3 high water levels. Water level 2 encompassed a range of 49 centimeters. The differences between mean spectra as a function of mean water level are small.

3. Spectral and Sea-Surface Elevation Distribution Function Parameters.

a. Secondary Spectral Peaks. The computerized procedure described in Section III and Appendix D was used to identify major peaks in all spectra considered for this report. For five of the six ocean locations only about one-third of the shallow-water spectra are single peaked (Fig. 17).

Figure 16a. Comparison of mean spectra from different gage locations ($H_g = 30$ to 61 centimeters; $T_p = 3$ to 4 seconds).

Figure 16b. Comparison of mean spectra from different gage locations ($H_g = 91$ to 122 centimeters; $T_p = 11.6$ to 13.3 seconds).

Figure 17. Percentage of spectra versus number of major spectral peaks.

At the remaining ocean location (Pt. Mugu) only 5 percent of the spectra were single peaked. The infrequency of single-peaked spectra at Pt. Mugu may be attributed both to common simultaneous occurrence of sea and swell waves and to pressure-compensation effects on the spectrum which often make the high-frequency end of the spectrum look like a meaningful peak. At all ocean locations, about one-half the spectra are double peaked with the rest containing three, four, and in a few cases, five major peaks. Several spectra with five major peaks and corresponding pen-and-ink traces are shown in Figure 18.

Single-peaked spectra are more common for the gulf and Great Lakes sites than for the ocean sites (see Fig. 17). About 40 percent of the Naples spectra and about one-half of the Great Lakes spectra from accelerometer buoys 6 kilometers offshore were single peaked. Spectra from the Great Lakes nearshore pressure gages show that only 20 to 30 percent of the cases are single peaked. However, these percentages are considered low because the pressure-compensation procedure often creates a

Figure 18. Pen-and-ink strip-chart records and corresponding spectra for some cases with five major spectral peaks: (a) Nags Head record at 0400 e.s.t., 25 December 1968; analysis at 0643 e.s.t., 25 December 1968; (b) Nags Head record at 0800 e.s.t., 24 February 1969; analysis at 0642 e.s.t., 24 February 1969; (c) Huntington Beach record at 1900 e.s.t., 9 May 1972; analysis at 1840 e.s.t., 9 May 1972.

small peak at high frequency. Small peaks at frequencies less than 0.05 hertz appeared in some of the Great Lakes spectra, especially spectra from the Presque Isle pressure gages (App. B). These peaks were considered spurious and are not counted as major spectral peaks. Double-peaked spectra are shown to be common at both the gulf and Great Lakes locations.

It was noted that secondary spectral peaks do not always represent independent wave trains. When waves are nonlinear, i.e., when H_s/d or H_s/L_p are large, the wave profile will be nonsinusoidal. For such cases, a secondary spectral peak at twice the dominant frequency is expected even though a photo would show a single train of waves. The effect is most evident for high, long waves at low tide; i.e., cases with high values of H_s/d and low values of d/L_p . Appendixes A and B clearly show that secondary peaks tend to occur systematically for high, long shallow-water waves. However, the joint distribution table of number of major spectral peaks versus significant height at each location shows

no clear evidence of a relationship (see Table 3). The correlation between number of peaks and H_s is less than 0.3 at all locations (Table 4). The joint distribution table of number of major spectral peaks versus period corresponding to the highest spectral peak at each location also shows little evidence of a relationship (Table 5). The correlation between number of peaks and T_p is also very low (Table 4). For most wave conditions the occurrence of secondary spectral peaks does not appear to be systematically related to H_s and T_p . The overall statistics on multiple peaks as indicators of multiple wave trains should be reasonably correct.

Several other attempts have been made to quantify the likelihood of having multiple wave trains. Shepard and Inman (1951) report visual wave observations to identify secondary and dominant wave trains from the Scripps pier in southern California during a 10-month period. They report secondary wave trains in 56 percent of 302 observations. This figure may be an underestimate because of the difficulty of visually identifying secondary trains, but is reasonably consistent with the Huntington Beach gage data.

Table 3: Percentage of cases in each significant height group corresponding to number of major spectral peaks.

H_0 (cm)	Major spectral peaks					Cases
	1	2	3	4	5	
Nags Head, N.C.						
0 to 30	29	39	29	3	- ¹	38
30 to 61	40	45	14	2	-	301
61 to 91	36	42	19	2	-	208
91 to 122	20	58	21	1	1	158
122 to 152	22	59	17	2	-	95
152 to 183	25	44	22	7	2	59
183 to 213	13	50	31	6	-	52
213 to 244	21	50	25	4	-	28
244 to 274	--- ¹	60	40	--- ¹	-	5
274 to 305	100	--- ¹	--- ¹	-	-	1
Naples, Fla.						
0 to 30	45	45	9	1	-	482
30 to 61	36	42	19	2	-	288
61 to 91	34	45	16	1	3	73
91 to 122	15	55	30	--	-	20
122 to 152	33	67	--	--	-	3
Michigan City, Ind. (pressure gage)						
0 to 30	17	50	29	4	-	24
30 to 61	18	64	18	--	-	11
61 to 91	22	37	26	15	-	27
91 to 122	30	50	20	--	-	20
122 to 152	33	33	33	--	-	12
152 to 183	64	18	9	9	-	11
183 to 213	55	36	9	--	-	11
213 to 244	25	63	13	--	-	8
244 to 274	50	50	--	--	-	2
274 to 305	100	---	--	--	-	1
Huntington Beach, Calif.						
0 to 30	---	---	---	---	---	---
30 to 61	32	45	23	--	-	31
61 to 91	37	50	11	2	-	311
91 to 122	36	49	13	2	-	264
122 to 152	36	46	16	3	-	90
152 to 183	44	41	12	3	-	34
183 to 213	36	45	18	--	-	11
213 to 244	67	33	--	--	-	3
244 to 274	---	100	--	--	-	1
274 to 305	---	100	--	--	-	1

¹No observed cases for combinations of H_0 and number of peaks.

Table 4. Linear correlation between number of major spectral peaks, significant height and period corresponding to the highest peak.

Location	Correlation		Cases
	H_g vs. No. of peaks	T_p vs. No. of peaks	
Atlantic City, N.J.	0.12	0.07	1,037
Virginia Beach, Va.	0.10	0.10	982
Nags Head, N.C.	0.16	0.06	945
Lake Worth, Fla.	0.02	0.05	982
Naples, Fla.	0.17	0.05	866
Michigan City, Ind. (buoy)	0.24	0.17	246
Michigan City, Ind. (pressure)	0.26	0.24	127
Presque Isle, Pa. (buoy)	0.09	0.18	110
Presque Isle, Pa. (pressure)	0.19	0.32	119
Huntington Beach, Calif.	0.01	0.17	746
Pt. Mugu, Calif.	0.02	0.16	799

Table 5. Percentage of cases in each peak period group corresponding to number of major spectral peaks.

T_p (s)	Major spectral peaks					Cases
	1	2	3	4	5	
Nags Head, N.C.						
0 to 1	-- ¹	---	---	---	---	
1 to 2	--	---	--	--	-	
2 to 3	--	100	--	--	-	3
3 to 4	--	67	17	17	-	6
4 to 5	21	50	21	4	4	28
5 to 6	20	54	22	4	-	54
6 to 7	30	45	20	5	1	132
7 to 8	36	49	12	3	-	73
8 to 9	43	40	15	2	-	258
9 to 10	34	46	20	1	-	142
10 to 11.6	29	53	15	3	-	87
11.6 to 13.3	11	58	28	3	-	105
13.3 to 15.5	16	59	22	4	-	51
15.5 to 18.6	--	33	67	--	-	6
Huntington Beach, Calif.						
0 to 1	--	---	--	--	-	
1 to 2	--	---	--	--	-	
2 to 3	--	---	--	--	-	
3 to 4	20	60	20	--	-	5
4 to 5	--	50	25	25	-	4
5 to 6	--	57	43	--	-	7
6 to 7	8	46	33	8	4	24
7 to 8	27	40	20	13	-	15
8 to 9	18	61	9	9	2	44
9 to 10	35	45	20	--	-	20
10 to 11.6	40	53	7	--	-	43
11.6 to 13.3	45	45	10	1	-	173
13.3 to 15.5	39	47	13	--	-	250
15.5 to 18.6	37	51	10	1	-	147
18.6 to 23.3	29	50	21	--	-	14

¹No observed cases.

Hoffman (1978) reports on the occurrence of multi-peaked spectra from hindcasts generated for a grid point in the North Atlantic Ocean by the Spectral Ocean Wave Model of the U.S. Navy Fleet Numerical Weather Central, Monterey, California. The criterion for accepting a secondary peak was that its peak energy be at least 30 percent of the energy in the highest peak and that it be reasonably separate in frequency from the main peak. About 25 percent of 572 cases considered were multi-peaked. There was a tendency for multi-peaked spectra to be more common during low wave conditions than during high wave conditions.

It is of some engineering interest to know whether a spectrum with a certain T_p value is more likely to contain major secondary peaks than a spectrum with a different T_p value. Evidence at many locations shows that spectra associated with certain ranges of T_p do indeed exhibit a preference for multiple peaks. At Atlantic City, Virginia Beach, and Nags Head on the Atlantic coast and at Huntington Beach on the Pacific coast, multi-peaked spectra are more common for long and short T_p cases than for intermediate T_p cases (shown in Fig. 19). Multi-peaked spectra are least common for T_p values between about 8 and 10 seconds at the three Atlantic coast locations. At Huntington Beach multi-peaked spectra are least common for T_p values of 12 to 13 seconds. At Lake Worth and Naples, there is no clear evidence of a relationship between T_p and the occurrence of secondary spectral peaks. Data on secondary peaks from Pt. Mugu and the Great Lakes locations were not considered because of difficulties with the compensated pressure spectrum and because of the small number of Great Lakes cases.

Additional insight on the occurrence of secondary spectral peaks can be obtained from a detailed look at their characteristics for a representative Atlantic coast location and the single Pacific coast location. For example, Figure 19 shows that 70 percent of the Nags Head spectra with T_p between 6 and 7 seconds have major secondary peaks; Figure 20(a) shows in a histogram that the periods of those secondary peaks range from 2 to 17 seconds. The figure also shows that secondary peak periods are fairly evenly distributed over the range of 3 to 17 seconds. The relatively low percentage of secondary peaks at 7 to 8 seconds shown in Figure 20(a) is artificially low because the CERC analysis procedure gives a nonuniform resolution in wave period which tends to decrease the number of cases in the 7- to 8-second intervals and increase the number of cases in the 6- to 7- and 8- to 9-second intervals. The procedure also precludes any cases with intervals of 11 to 12, 13 to 14, 15 to 16, and 17 to 20 seconds.

Although the histograms in Figures 20 and 21 show how often secondary peaks occur, no indication is given of how much energy is contained in the secondary peaks. Therefore, another curve was added to the figures to show the average energy for secondary spectral peaks in each period interval. The energy associated with each peak was estimated by the procedures discussed in Section III and shown in Figure 7. Relatively high-energy secondary peaks tend to occur in Figure 20(a) at periods slightly less than T_p and at periods of 12 to 14 seconds. The long-period secondary peaks generally represent swell waves.

Figure 19. Fraction of spectra with at least one major secondary peak versus T_p .

Figure 20. Frequency of occurrence and average energy for secondary peaks as a function of secondary peak period at Nags Head, North Carolina.

Figure 21. Frequency of occurrence and average energy for secondary peaks as a function of secondary peak period at Huntington Beach, California.

Figure 20(b) shows characteristics of secondary spectral peaks at Nags Head when period of the dominant peak is 12 to 13 seconds. Secondary peak periods of 4 to 9 seconds are common. In most cases these secondary peaks represent locally generated wave energy or swell waves generated near the Nags Head coast. The average energy associated with these peaks is relatively high. Secondary peaks at periods longer than 10 seconds are almost totally absent.

At Huntington Beach, spectra with relatively short T_p have a very strong tendency for a secondary peak at long period (see Fig. 21,a). Locally generated waves at Huntington Beach are usually superimposed on long-period swell waves. Huntington Beach spectra with long T_p have a small tendency for secondary peaks with short periods (see Fig. 21,b). They also have a small tendency for secondary peaks at long period, which presumably correspond to secondary swell waves superimposed on the dominant swell. However, most Huntington Beach spectra with long T_p appear to be dominated by the main spectral peak.

b. Spectral Peakedness. The spectral-peakedness parameter, Q_p , defined by equation (4) was computed for each spectrum. The spectral-peakedness parameter is shown on each plot in Appendix A.

Cumulative distribution functions of Q_p are shown in Figure 22 for the selected locations. The Q_p values cover a wide range at most locations—from less than one to greater than five. Q_p values tend to be smallest for the Atlantic coast sites. The highest Q_p values tend to occur for the Great Lakes gages, with the pressure gages having higher Q_p values than the buoy gages. Q_p values for the gulf and Pacific coast gages tend to be intermediate to values for the Great Lakes and Atlantic coast gages except for the highest 5 percent of the Pt. Mugu Q_p values. The cumulative distribution function for Pt. Mugu shows a rapid increase in Q_p values associated with probabilities of less than 5 percent. This feature is a result of occasional large overcompensation of the pressure spectrum which creates a high spectral peak at high frequency. Several cases in which Q_p was greater than nine are omitted from Figure 22. These cases all correspond to very low wave conditions except for several overcompensated Pt. Mugu cases.

Goda (1976) indicated that high values of Q_p are associated with strong grouping of high waves. Pen-and-ink record traces for several cases with reasonably high waves and high Q_p values are shown in Figure 23. Some grouping of the high waves is evident in the records. Records with low Q_p values in Figures 13 and 18 show little evidence of wave grouping; yet the record in Figure 11 for high, long waves shows evidence of high waves occurring together, but the Q_p value is only 1.35.

The spectral-peakedness parameter might be expected to weakly correlate with the number of major spectral peaks so that single-peaked spectra tend to have high peakedness. The data indicate a low correlation at some locations, but not at others (Table 6). Q_p also appears to be

Figure 22. Cumulative probability distribution functions for spectral peakedness.

Figure 23. Pen-and-ink strip-chart records for selected cases with high Q_p values: (a) Huntington Beach record at 0100 e.s.t., 3 September 1972; analysis at 0040 e.s.t., 3 September 1972; (b) Naples record at 1220 e.s.t., 9 June 1969; analysis at 1340 e.s.t., 9 June 1969; (c) Michigan City (buoy) record at 2025 e.s.t., 14 September 1975; analysis at 2220 e.s.t., 14 September 1975.

Table 6. Correlation between spectral-peakedness parameter and other wave parameters.

Location	Cases	Correlations		Cases	Correlations T_p vs. Q_p (single-peaked cases)
		Q_p vs.	H_s vs. Q_p		
		No. of peaks			
Atlantic City, N.J.	1,037	0.22	0.08	322	0.27
Virginia Beach, Va.	982	0.13	0.02	292	0.20
Nags Head, N.C.	945	0.31	0.20	286	0.20
Lake Worth, Fla.	982	0.05	0.08	332	0.29
Naples, Fla.	866	0.06	0.16	351	0.31
Michigan City, Ind. (buoy)	246	0.09	0.27	128	0.34
Michigan City, Ind. (pressure)	127	0.06	0.61	39	0.80
Presque Isle, Pa. (buoy)	110	0.10	0.14	54	0.25
Presque Isle, Pa. (pressure)	119	0.06	0.36	25	0.43
Huntington Beach, Calif.	746	0.34	0.24	274	0.05
Pt. Mugu, Calif.	799	0.22	0.25	34	0.73

weakly correlated with relative water depth as evidenced by a small correlation between peak period and Q_p for single-peaked spectra (Table 6). This may also be a result of the definition of Q_p . A low correlation between significant height and Q_p is also shown in the table.

The spectral-peakedness parameter appears to be related to significant wave height and peak spectral period in a complicated way (see Table 7 for Nags Head and Huntington Beach). High mean values of Q_p occur for significant wave heights and peak periods near the annual mean for most locations (Table 7). Mean Q_p values decrease fairly systematically for T_p increasingly higher than the annual mean T_p in most cases (Table 7). Q_p values have a tendency to increase with H_s for T_p shorter than the mean and decrease with H_s for H_s and T_p greater than the annual means. High values of H_s are often associated with relatively high mean Q_p for short T_p , but low mean Q_p for long T_p . The latter is mostly a result of the nonsinusoidal profile for long waves in shallow water.

On the basis of evidence in this report and Goda (1976), it seems reasonable to hypothesize that high peakedness parameters are indicative of strong wave grouping, but low peakedness parameters in shallow water are not necessarily indicative of weak grouping, especially when the relative water depth is small.

c. Skewness and Kurtosis of Sea-Surface Elevation Distribution Function. The distribution function for sea-surface elevation also provides useful insight on shallow-water spectral statistics. Mean values of skewness and kurtosis (defined in eq. 6) for the selected locations are given in Table 8. It is evident that, except at the Great Lakes sites, the shallow-water distribution functions tend to have more high than low extremes (high skewness) and that they tend to be more sharply focused than the normalized Gaussian distribution (high kurtosis). These findings are consistent with cnoidal wave profiles shown in Figure 2.

Cumulative distribution curves for skewness and kurtosis are shown in Figures 24, 25, and 26. The Atlantic coast locations have a tendency for higher skewness and kurtosis values than the gulf and Pacific coast

Table 7. Mean Q_p values for each $H_s - T_p$ group.¹

T_p (s)	H_s (cm)						
	0 to 30	30 to 61	61 to 91	91 to 122	122 to 152	152 to 183	183 to 213
Nags Head, N.C.							
0 to 1	--- ²	---	---	---	---	---	---
1 to 2	---	---	---	---	---	---	---
2 to 3	---	---	---	---	---	---	---
3 to 4	---	---	---	---	---	---	---
4 to 5	---	---	---	---	(2.21) ³	(1.65)	---
5 to 6	---	1.62	1.73	1.86	1.99	(1.70)	---
6 to 6.6	---	1.66	1.55	1.59	(1.90)	(1.86)	---
6.6 to 7.2	---	1.93	1.85	1.61	(1.72)	(2.01)	---
7.2 to 7.8	---	2.13	2.26	---	1.83	(2.02)	---
7.8 to 8.5	---	2.25	1.92	1.76	(1.56)	(1.61)	---
8.5 to 9.3	1.55	1.95	1.98	---	(1.64)	(1.88)	---
9.3 to 10.3	---	1.96	1.91	1.65	1.65	(1.51)	---
10.3 to 11.6	---	1.71	1.63	1.69	(1.43)	(1.28)	---
11.6 to 13.3	---	1.51	1.57	1.31	1.47	1.49	---
13.3 to 15.5	---	1.50	---	---	(1.34)	(1.25)	---
15.5 to 18.6	---	---	---	---	(1.16)	(1.08)	---
Huntington Beach, Calif.							
0 to 1	---	---	---	---	---	---	---
1 to 2	---	---	---	---	---	---	---
2 to 3	---	---	---	---	---	---	---
3 to 4	---	---	---	---	---	---	---
4 to 5	---	---	---	---	---	---	---
5 to 6	---	---	---	---	---	(1.50)	---
6 to 6.6	---	---	---	---	---	---	---
6.6 to 7.2	---	---	---	---	---	---	(1.70)
7.2 to 7.8	---	---	---	---	---	(2.18)	---
7.8 to 8.5	---	---	---	---	1.82	(2.21)	(1.84)
8.5 to 9.3	---	---	---	---	---	(2.23)	(1.64)
9.3 to 10.3	---	---	---	---	---	(2.75)	---
10.3 to 11.6	---	---	2.25	2.33	---	(2.26)	(4.05)
11.6 to 13.3	---	1.98	2.01	2.31	2.65	(2.81)	(1.67)
13.3 to 15.5	---	1.80	2.16	2.22	2.34	(3.11)	(3.59)
15.5 to 18.6	---	---	2.00	2.46	2.38	(1.79)	(2.04)

¹Annual means: $H_s = 94$ centimeters and $T_p = 8.9$ seconds for Nags Head;
 $H_s = 87$ centimeters and $T_p = 13.2$ seconds for Huntington Beach.

²No cases in the $H_s - T_p$ groups.

³Parentheses indicate value is based on less than 10 cases.

Table 8. Statistics on skewness and kurtosis of distribution of sea-surface elevations.

Location	Cases	Mean skewness	Mean kurtosis	Correlations	
				Skewness vs. kurtosis	H_s vs. skewness
Atlantic City, N.J.	1,037	0.36	3.36	0.84	0.82
Virginia Beach, Va.	982	0.27	3.26	0.58	0.51
Nags Head, N.C.	945	0.41	3.38	0.56	0.64
Lake Worth, Fla.	982	0.37	3.43	0.84	0.56
Naples, Fla.	866	0.16	3.17	0.23	0.53
Michigan City, Ind. (buoy)	246	-0.03	4.30	0.49	0.09
Michigan City, Ind. (pressure)	127	-0.17	4.76	0.15	0.17
Presque Isle, Pa. (buoy)	110	-0.20	3.86	0.96	0.04
Presque Isle, Pa. (pressure)	119	-0.02	2.45	0.75	0.15
Huntington Beach, Calif.	746	0.24	3.13	0.58	0.51
Pt. Mugu, Calif.	799	0.06	3.00	0.25	0.23

Figure 24. Cumulative probability distribution functions for skewness of sea-surface elevation distribution function.

Figure 25. Cumulative probability distribution functions for kurtosis of sea-surface elevation distribution function at Atlantic and gulf coast locations.

Figure 26. Cumulative probability distribution functions for kurtosis of sea-surface elevation distribution function at Great Lakes and Pacific coast locations.

locations. The Great Lakes locations and Pt. Mugu tend to have the lowest skewness values. The Michigan City locations and the Presque Isle buoy gage show a tendency for occasional very high kurtosis values which are associated with very low significant wave heights. Although several kurtosis values greater than nine occurred for the Michigan City locations and Pt. Mugu, the values are omitted from Figures 25 and 26. The Presque Isle pressure gage shows a tendency for exceptionally low kurtosis values. A section of pen-and-ink record for a case with high kurtosis (equal to 8.0) was shown in Figure 11.

Some of the kurtosis values in Figures 25 and 26 are questionably high. Since kurtosis is based on the fourth power of the deviation of sea-surface elevations from the mean (i.e., $n = 4$ in eq. 6), it is more affected by a few elevations far from the mean than any other parameters considered in this report. Noise or momentary signal losses in a record which are comparable to the size of the largest waves will pass uncorrected through the editing process described earlier. These bad data points usually have little effect on the spectrum but they can have a significant effect on the kurtosis of the distribution of sea-surface elevations.

Another factor which leads to questionably high values of kurtosis is the occasional tendency of the step-resistance gage to become electrically shorted at a particular elevation, often by marine growth, and to produce wave records in which the troughs are unrealistically flat. Because kurtosis is especially sensitive to these problems (known to have occurred intermittently in the data considered in this report),

the percentages associated with kurtosis values in Figures 25 and 26 higher than about 4 should be considered somewhat inflated.

The correlation between skewness and peak spectral period is less than 0.25 at all locations; for single-peaked cases it is similarly low. However, the correlation between skewness and significant height is moderately high for the ocean and gulf coast locations (Table 8). There is a clear tendency for skewness to increase with significant height for the ocean and gulf coast locations as evidenced in a plot of mean skewness versus significant height (Fig. 27). This tendency is not evident for the Great Lakes locations (Fig. 28).

It is apparent that cnoidal wave profiles (see Fig. 2) with very high skewness and kurtosis values are rarely observed at the selected gage locations. However, some of the records contain wave profiles which are more nearly cnoidal than sinusoidal. Figures 24, 25, and 26 indicate that cnoidal profiles appear in about 10 percent of the records or less.

V. CONCLUSIONS

The following conclusions are drawn from evidence and discussion presented in this report:

1. About 60 to 70 percent of the ocean and gulf coast energy spectra in shallow water have one or more major peaks in addition to the dominant peak.
2. At least 50 percent of the spectra in Lakes Michigan and Erie have one or more major secondary peaks.
3. Major secondary spectral peaks are common for both high and low energy spectra.
4. The very small sample of spectra for hurricane waves examined (seven spectra) does not reveal any special characteristics of hurricane-generated spectra.
5. Major secondary spectral peaks are usually indicative of secondary wave trains.
6. When secondary spectral peaks occur at frequencies of two or three times the dominant frequency, they may represent a single train of steep waves rather than independent wave trains, especially if the relative water depth for the dominant wave is small.
7. When secondary spectral peaks occur at frequencies of 0.5 or 1.5 times the dominant frequency and the waves are high enough for breaking to occur, the secondary peaks may not represent independent wave trains.

Figure 27. Mean skewness of sea-surface elevation distribution function as a function of H_g for ocean and gulf coast locations.

Figure 28. Mean skewness of sea-surface elevation distribution functions as a function of H_g for Great Lakes locations.

8. There is evidence that wave breaking limits the ratio H_b/d at the shallow-water gage locations considered to less than 0.55 rather than the commonly used limit of 0.78.

9. Individual shallow-water ocean spectra with comparable significant heights and peak periods are highly variable in shape.

10. Mean spectra for the same significant height-peak period group show a surprising similarity between locations; however, there is a notable lack of low-frequency energy in gulf and Great Lakes spectra and a lack of high-frequency energy in most southern Pacific coast spectra.

11. The distribution of individual energy values about the mean energy for each spectral band tends to be nearly Gaussian in the vicinity of the dominant peak but non-Gaussian for low-energy bands away from the peak.

12. For most exposed ocean locations, a spectrum is more likely to contain major secondary peaks when the period of the dominant peak is long or short than when it has intermediate values.

13. High values of the spectral-peakedness parameter, Q_p , indicate that high waves may be occurring in groups; however, high Q_p values in shallow water are usually associated with low-energy wave conditions.

14. Low values of Q_p generally indicate that high waves are poorly grouped. However, if the spectrum has secondary peaks which do not represent independent wave trains, Q_p is not a direct indicator of the extent of wave grouping.

15. Q_p values tend to be higher in the Great Lakes than along the ocean and gulf coasts.

16. The distribution function for sea-surface elevations in shallow water tends to have more high than low values far from the mean and tends to show less variability than the normalized Gaussian distribution. These features are consistent with cnoidal-type, shallow-water wave profiles.

VI. SUMMARY

Digital wave records were obtained from 11 gages at 9 shallow-water sites along the U.S. Atlantic, Pacific, gulf, and Great Lakes coasts. The records were analyzed with a fast Fourier transform procedure to produce energy spectra. Selected spectral parameters, including the number of major spectral peaks and Goda's (1970) spectral-peakedness parameter, and parameters of the distribution function of sea-surface elevations were also computed. The physical meaning of the shallow-water spectrum and computed parameters was discussed and illustrated with three cases of cnoidal wave profiles.

Spectra for the 24 highest energy cases from each gage were summarized individually. Many of the spectra had more than one major peak. Although many of the high-energy cases represent breaking wave conditions, the ratio of significant height to water depth was less than 0.55 in all cases. This value differs significantly from the value 0.78 which is usually used in conjunction with depth-limited wave heights.

All spectra were grouped according to significant height and period corresponding to the highest spectral peak. A mean spectrum was computed for most height-period groups. The standard deviation of spectral values about the mean was also included. The mean spectra show a clear lack of low-frequency energy at the gulf and Great Lakes sites and a lack of high-frequency energy at the southern California site. They also show evidence of systematic variations in shape as a function of significant height and peak period.

Multipeaked spectra are common; 60 to 70 percent of the ocean and gulf coast spectra and at least 50 percent of the Great Lakes spectra have more than one major peak. Major spectral peaks are usually indicative of independent wave trains.

High values of the spectral-peakedness parameter appear to be related to the occurrence of high waves in groups. However, low values of the spectral-peakedness parameter are not necessarily indicative of a lack of grouping of high waves in shallow water. The distribution function of sea-surface elevations in shallow water tends to deviate from a normalized Gaussian distribution in a way which is consistent with cnoidal-type wave profiles.

In the course of this investigation, a computer program was developed to identify major peaks and valleys in an irregular signal. The program has many potential applications, including identification of major peaks in a spectrum and identification of meaningful crests and troughs in a time series of sea-surface elevation.

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APPENDIX A

INDIVIDUAL HIGH-ENERGY WAVE SPECTRAL PLOTS

Figure A-1. High-energy wave spectral plots, Atlantic City, New Jersey.

Figure A-1. High-energy wave spectral plots, Atlantic City, New Jersey--Continued

Table A-1. Parameters for individual high-energy spectra, Atlantic City, New Jersey.

No.	Date	Time	H_g (cm)	T_p (s)	d (m)	d/l_p	H_g/d	H_g/l_p	$d/g^2 l_p^2$	Q_p	Normalized distribution function of sea-surface elevations	
											q_3	q_4
1969												
1	21 Jan.	1202	294	9.71	5.40	0.0795	0.543	0.0432	0.0058	1.41	1.262	4.94
2	22 Jan.	0002	278	10.84	5.47	0.0712	0.507	0.0361	0.0047	1.20	1.418	5.48
3	2 Mar.	2001	274	8.79	5.88	0.0928	0.465	0.0432	0.0078	1.40	1.041	4.31
4	22 Jan.	1202	262	12.26	5.60	0.0632	0.468	0.0296	0.0038	1.38	1.161	4.55
5	2 Mar.	0801	256	8.79	5.82	0.0923	0.440	0.0406	0.0077	1.37	0.927	4.29
6	21 Jan.	1802	251	10.84	4.84	0.0667	0.519	0.0346	0.0042	1.08	1.188	4.83
7	21 Jan.	0602	248	8.03	5.01	0.0939	0.495	0.0465	0.0079	1.43	1.047	4.64
8	2 Mar.	1401	246	9.71	5.20	0.0779	0.473	0.0368	0.0056	1.29	1.051	4.46
1968												
9	29 Dec.	0000	244	8.79	4.91	0.0841	0.496	0.0417	0.0065	1.16	1.256	4.90
10	28 Dec.	1800	241	8.03	4.87	0.0924	0.495	0.0458	0.0077	1.75	0.975	4.38
1969												
11	18 Feb.	1802	239	16.65	5.35	0.0449	0.448	0.0301	0.0020	1.08	1.132	4.99
12	22 Jan.	0602	239	14.12	4.78	0.0502	0.501	0.0252	0.0024	0.99	1.146	4.71
13	18 Feb.	0602	234	10.84	5.54	0.0716	0.422	0.0302	0.0048	1.61	0.892	4.16
14	21 Feb.	0600	230	14.12	4.74	0.0500	0.486	0.0243	0.0024	1.51	1.183	4.99
15	21 Feb.	1200	227	14.12	5.41	0.0536	0.420	0.0225	0.0028	1.23	1.029	4.62
16	2 Mar.	0201	227	7.39	5.15	0.1046	0.441	0.0461	0.0096	1.52	0.974	4.36
17	21 Mar.	0604	226	6.85	4.90	0.1110	0.461	0.0512	0.0106	1.37	0.896	4.53
18	23 July	2003	225	8.03	4.94	0.0932	0.455	0.0424	0.0078	1.99	1.038	4.72
1968												
19	29 Dec.	0600	223	9.71	4.93	0.0757	0.453	0.0343	0.0053	1.25	1.284	4.91
1969												
20	22 Jan.	1803	222	12.26	4.62	0.0571	0.481	0.0275	0.0031	1.17	1.262	5.11
21	23 Jan.	0003	222	10.84	5.54	0.0716	0.401	0.0287	0.0048	1.62	0.764	3.63
22	9 May	0000	221	6.38	5.43	0.1281	0.407	0.0522	0.0136	2.38	0.714	3.76
23	21 Feb.	0000	221	14.12	5.57	0.0544	0.397	0.0216	0.0028	1.55	1.037	4.62
24	18 Feb.	1202	217	14.12	5.05	0.0517	0.429	0.0222	0.0026	1.28	1.251	5.32

Figure A-2. High-energy wave spectral plots, Virginia Beach, Virginia.

Figure A-2. High-energy wave spectral plots, Virginia Beach, Virginia.--Continued

Table A-2. Parameters for individual high-energy spectra, Virginia Beach, Virginia.

No.	Date	Time	H_s (cm)	T_p (s)	d (m)	d/L_p	H_s/d	H_s/L_p	d/gT_p^2	Q_p	Normalized distribution function of sea-surface elevations	
											q_3	q_4
1969												
1	2 Mar.	0221	318	8.79	6.95	0.1019	0.457	0.0466	0.0092	1.81	0.951	3.94
2	21 Jan.	0022	294	10.84	6.60	0.0787	0.446	0.0351	0.0057	1.61	1.037	4.13
3	20 Jan.	1821	286	8.03	6.40	0.1077	0.448	0.0482	0.0101	1.97	0.993	4.09
4	2 Mar.	0821	281	8.79	7.22	0.1041	0.390	0.0406	0.0095	1.42	0.748	3.37
5	22 Jan.	0022	273	9.71	6.88	0.0907	0.396	0.0360	0.0074	1.35	0.945	4.10
6	21 Jan.	1822	261	9.71	6.32	0.0866	0.413	0.0358	0.0068	1.31	1.111	4.76
7	1 Mar.	2020	253	7.39	6.96	0.1246	0.364	0.0453	0.0130	1.81	0.659	3.21
8	21 Jan.	0622	252	10.84	6.46	0.0778	0.390	0.0303	0.0056	1.51	0.935	4.40
9	21 Jan.	1222	226	10.84	6.83	0.0802	0.331	0.0265	0.0059	1.28	0.926	4.48
10	22 Jan.	1222	219	14.12	6.91	0.0608	0.317	0.0193	0.0035	1.31	0.922	4.16
11	17 Feb.	0021	218	7.39	5.82	0.1122	0.375	0.0421	0.0108	2.30	0.838	4.01
12	17 Feb.	1821	213	8.03	6.62	0.1099	0.322	0.0354	0.0105	1.94	0.675	4.09
13	8 July	1220	207	6.85	6.87	0.1356	0.301	0.0408	0.0149	2.74	0.705	3.98
14	17 Feb.	0621	199	7.39	6.84	0.1233	0.291	0.0358	0.0128	2.58	0.534	3.40
15	22 Jan.	0622	198	12.26	6.18	0.0665	0.320	0.0213	0.0042	1.28	1.045	4.87
16	18 Feb.	1822	197	14.12	6.52	0.0590	0.302	0.0178	0.0033	1.27	0.759	4.00
17	16 Feb.	1821	193	5.97	6.71	0.1586	0.288	0.0457	0.0192	2.42	0.639	3.77
18	20 Sept.	2020	191	6.85	6.28	0.1284	0.305	0.0392	0.0136	1.62	0.736	3.84
19	22 Sept.	0221	190	10.84	6.92	0.0807	0.275	0.0222	0.0060	1.35	0.686	4.08
20	2 Mar.	1421	187	10.84	6.35	0.0771	0.294	0.0227	0.0055	1.42	0.648	3.87
21	2 Mar.	2021	187	10.84	6.89	0.0805	0.272	0.0219	0.0060	1.41	0.713	3.74
22	8 July	1820	186	8.03	6.70	0.1106	0.278	0.0308	0.0106	1.68	0.564	3.75
23	18 Feb.	1222	185	9.71	6.35	0.0868	0.292	0.0253	0.0069	1.30	0.726	3.68
24	5 Oct.	1221	184	7.39	6.81	0.1230	0.270	0.0332	0.0127	1.55	0.735	3.45

Figure A-3. High-energy wave spectral plots, Nags Head, North Carolina.

Figure A-3. High-energy wave spectral plots, Nags Head, North Carolina.--Continued

Table A-3. Parameters for individual high-energy spectra, Nags Head, North Carolina.

No.	Date	Time	H _g (cm)	T _p (s)	d (m)	d/L _p	H _g /d	H _g /L _p	d/gT _p ²	Q _p	Normalized distribution function of sea-surface elevations	
											q ₃	q ₄
1969												
1	15 Oct.	0843	287	7.39	6.44	0.1191	0.446	0.0530	0.0120	1.80	0.965	3.48
2	2 Mar.	0841	254	8.79	6.14	0.0951	0.414	0.0394	0.0081	1.28	0.941	3.85
3	17 Feb.	0641	248	8.79	6.28	0.0963	0.394	0.0379	0.0083	1.47	0.954	4.01
4	12 Oct.	0841	246	12.26	6.28	0.0671	0.391	0.0262	0.0043	1.25	1.083	4.22
5	15 Oct.	2043	244	6.85	5.94	0.1241	0.411	0.0510	0.0129	1.65	0.941	3.76
6	9 Mar.	2041	243	8.79	5.84	0.0925	0.416	0.0384	0.0077	1.69	0.811	3.43
7	18 Feb.	0642	241	6.85	5.93	0.1240	0.407	0.0505	0.0129	1.23	0.367	4.90
8	21 Feb.	0040	235	14.12	5.84	0.0558	0.402	0.0224	0.0030	1.14	1.314	5.47
9	21 Sept.	1441	230	9.71	6.34	0.0867	0.363	0.0315	0.0069	1.41	0.751	3.73
10	15 Oct.	1443	229	9.71	5.40	0.0795	0.424	0.0337	0.0058	1.46	1.066	4.15
11	19 Feb.	1843	227	12.26	5.65	0.0635	0.403	0.0255	0.0038	1.04	0.971	4.03
12	10 Feb.	0241	226	8.79	5.73	0.0915	0.395	0.0362	0.0076	1.82	0.942	3.84
13	17 Feb.	1841	226	8.79	6.03	0.0942	0.374	0.0352	0.0080	1.37	0.820	3.91
14	23 Sept.	0242	225	12.26	5.87	0.0648	0.384	0.0249	0.0040	1.29	1.060	4.60
15	20 Feb.	1243	225	10.84	5.54	0.0716	0.406	0.0291	0.0048	1.24	0.721	4.20
16	22 Sept.	1441	225	9.71	6.14	0.0853	0.367	0.0313	0.0066	1.56	0.870	3.87
17	2 Mar.	0241	224	8.03	5.89	0.1028	0.380	0.0391	0.0093	1.31	0.913	4.10
18	12 Oct.	2042	224	5.29	6.08	0.1741	0.368	0.0641	0.0221	1.26	0.796	3.70
19	19 Feb.	0642	222	14.12	5.92	0.0561	0.375	0.0210	0.0030	1.23	1.428	5.76
20	16 Feb.	1841	220	6.85	5.96	0.1244	0.369	0.0459	0.0129	3.18	0.777	3.69
21	22 Sept.	0241	218	10.84	6.14	0.0756	0.355	0.0268	0.0053	1.23	0.802	3.90
22	2 Mar.	1441	218	9.71	5.69	0.0818	0.383	0.0313	0.0062	1.33	0.928	4.17
23	1 Mar.	0240	217	14.12	5.72	0.0552	0.379	0.0209	0.0029	1.37	1.209	5.05
24	22 Feb.	0040	217	14.12	5.80	0.0555	0.375	0.0208	0.0030	1.07	0.734	4.93

Figure A-4. High-energy wave spectral plots, Lake Worth, Florida.--Continued

Table A-4. Parameters for individual high-energy spectra, Lake North, Florida.

No.	Date	Time	H_g (cm)	T_p (s)	d (m)	d/l_p	H_g/d	H_g/l_p	$d/g l_p^2$	Q_p	Normalized distribution function of sea-surface elevations	
											q_3	q_4
1969												
1	20 Feb.	0723	199	14.12	5.61	0.0546	0.354	0.0193	0.0029	1.35	1.940	7.96
2	15 Feb.	0720	186	5.01	6.06	0.1873	0.307	0.0576	0.0246	2.08	0.533	3.81
3	15 Feb.	1320	182	5.97	5.15	0.1344	0.353	0.0475	0.0147	2.48	0.669	3.88
4	8 Mar.	1520	181	5.97	5.26	0.1362	0.344	0.0468	0.0150	2.39	0.618	3.79
5	7 Oct.	0122	175	5.01	5.69	0.1795	0.308	0.0553	0.0231	1.85	0.943	4.70
6	20 Feb.	0123	174	14.12	5.53	0.0542	0.314	0.0170	0.0028	1.35	1.907	7.92
7	24 Mar.	0321	174	5.61	5.63	0.1534	0.309	0.0474	0.0182	2.76	0.394	3.25
8	20 Jan.	0721	170	5.61	5.76	0.1558	0.295	0.0460	0.0187	2.37	0.633	4.14
9	24 Mar.	0921	167	5.29	5.63	0.1656	0.296	0.0491	0.0205	2.46	0.491	3.29
10	13 Jan.	0924	163	8.79	5.27	0.0874	0.309	0.0270	0.0069	1.92	0.735	4.22
11	3 May	1922	162	5.29	5.92	0.1711	0.274	0.0468	0.0215	2.47	0.500	3.40
12	18 Jan.	0720	162	6.38	6.09	0.1373	0.266	0.0365	0.0152	1.86	0.518	3.61
13	17 Jan.	1920	160	5.97	5.87	0.1457	0.272	0.0396	0.0168	2.44	0.462	3.39
14	12 Jan.	1523	159	8.79	5.78	0.0919	0.275	0.0253	0.0075	1.89	0.584	3.76
15	2 May	1921	158	5.97	6.06	0.1487	0.261	0.0388	0.0173	2.50	0.363	3.08
16	6 Oct.	0121	157	5.97	5.69	0.1429	0.277	0.0396	0.0162	1.70	0.729	4.04
17	6 Oct.	0721	157	5.97	5.56	0.1409	0.282	0.0397	0.0159	1.59	0.633	3.68
18	2 Apr.	0722	155	6.38	5.86	0.1342	0.265	0.0355	0.0147	1.97	0.486	3.53
19	28 Apr.	1523	153	5.97	5.64	0.1421	0.272	0.0387	0.0161	1.80	0.455	3.72
20	19 Jan.	0120	151	6.38	4.90	0.1205	0.308	0.0371	0.0123	2.02	0.566	3.27
21	7 Oct.	1322	150	5.97	5.52	0.1403	0.271	0.0381	0.0158	1.82	0.784	4.15
22	21 Feb.	1920	148	14.12	5.46	0.0538	0.270	0.0145	0.0028	1.28	1.362	5.98
23	7 Oct.	1922	148	12.26	5.72	0.0639	0.258	0.0165	0.0039	1.79	0.961	4.28
24	18 Jan.	0120	148	6.85	4.84	0.1102	0.306	0.0338	0.0105	2.02	0.705	3.67

Figure A-5. High-energy wave spectral plots, Naples, Florida.--Continued

Table A-5. Parameters for individual high-energy spectra, Naples, Florida.

No.	Date	Time	H_g (cm)	T_p (s)	d (m)	d/l_p	H_g/d	H_g/l_p	$d/g^2 l_p^2$	Q_p	Normalized distribution function of sea-surface elevations			
											q_3	q_4		
1969														
1	9 Mar.	2141	129	6.38	4.85	0.1199	0.265	0.0317	0.0122	1.79	0.523	3.48		
2	9 Feb.	1541	123	5.61	5.54	0.1519	0.222	0.0337	0.0179	1.68	0.460	3.40		
1968														
3	15 Dec.	1541	122	5.29	5.17	0.1567	0.237	0.0371	0.0188	1.77	0.611	3.79		
1969														
4	16 Feb.	1941	120	4.31	5.22	0.2083	0.229	0.0478	0.0286	1.95	0.542	3.42		
5	4 Mar.	2142	117	5.01	5.47	0.1747	0.214	0.0373	0.0222	1.87	0.580	3.97		
6	11 Mar.	1542	113	4.52	5.52	0.2023	0.206	0.0416	0.0275	1.79	0.452	3.74		
7	11 Mar.	0342	111	5.29	5.17	0.1567	0.214	0.0335	0.0188	2.36	0.453	3.36		
8	10 Mar.	0341	111	4.52	5.31	0.1970	0.210	0.0413	0.0265	1.75	0.452	3.35		
9	4 Mar.	0342	111	4.31	5.23	0.2085	0.212	0.0442	0.0287	1.90	0.641	3.83		
1968														
10	15 Dec.	0341	111	4.31	4.87	0.1981	0.228	0.0453	0.0267	1.66	0.567	3.46		
1969														
11	5 June	1940	106	3.64	6.13	0.3090	0.174	0.0536	0.0472	2.15	0.310	3.46		
1968														
12	14 Dec.	1540	104	3.95	5.34	0.2417	0.195	0.0470	0.0349	3.99	0.520	3.56		
1969														
13	9 Mar.	1541	103	3.79	5.82	0.2765	0.177	0.0489	0.0413	2.88	0.361	3.37		
14	19 Mar.	1943	100	5.01	4.80	0.1604	0.209	0.0335	0.0195	1.51	0.540	3.60		
15	3 Feb.	2142	100	3.95	5.35	0.2420	0.187	0.0452	0.0350	2.75	0.636	3.96		
16	16 Aug.	2140	99	9.71	5.57	0.0808	0.177	0.0143	0.0060	1.48	0.650	3.89		
17	14 May	1943	96	3.64	5.10	0.2653	0.188	0.0498	0.0393	2.58	0.734	3.87		
18	19 Feb.	0142	94	6.85	5.41	0.1175	0.175	0.0205	0.0118	1.67	0.366	3.42		
19	6 June	1040	93	3.04	6.22	0.4340	0.149	0.0648	0.0685	2.76	0.305	3.45		
20	19 Mar.	1343	92	8.79	5.72	0.0914	0.161	0.0147	0.0075	1.30	0.292	3.31		
21	17 Feb.	0141	91	4.52	5.47	0.2012	0.167	0.0336	0.0273	1.63	0.487	3.48		
22	17 Aug.	1541	89	8.79	5.89	0.0929	0.152	0.0141	0.0078	1.35	0.407	3.33		
23	9 Feb.	0941	88	5.97	5.23	0.1358	0.169	0.0229	0.0150	2.20	0.468	3.55		
24	4 Mar.	1542	86	4.31	5.19	0.2074	0.169	0.0350	0.0285	1.77	0.322	3.48

Figure A-6. High-energy wave spectral plots, Michigan City, Indiana (buoy).

Figure A-6. High-energy wave spectral plots, Michigan City, Indiana (buoy).--Continued

Table A-6. Parameters for individual high-energy spectra, Michigan City, Indiana (buoy).

No.	Date	Time	H_g (cm)	T_p (s)	d (m)	d/L_p	H_g/d	H_g/L_p	d/gT_p^2	Q_p	Normalized distribution function of sea-surface elevations	
											q_3	q_4
1975												
1	18 Oct.	1020	284	8.03	18.29	0.2096	0.156	0.0326	0.0289	3.11	0.077	2.98
2	18 Oct.	0820	277	7.39	18.29	0.2372	0.152	0.0359	0.0341	3.21	-0.049	3.02
3	18 Oct.	0220	247	8.03	18.29	0.2096	0.135	0.0283	0.0289	2.65	0.076	2.84
4	18 Oct.	1620	242	7.39	18.29	0.2372	0.132	0.0314	0.0341	2.85	0.103	2.96
5	18 Oct.	1420	234	8.03	18.29	0.2096	0.128	0.0269	0.0289	2.22	0.001	2.85
6	18 Oct.	0420	233	5.97	18.29	0.3331	0.127	0.0430	0.0523	2.42	-0.007	2.89
7	11 Sept.	2220	229	8.03	18.29	0.2096	0.125	0.0263	0.0289	2.37	-0.068	3.03
8	24 Sept.	1620	227	5.61	18.29	0.3784	0.124	0.0469	0.0592	2.32	0.008	3.06
9	17 Oct.	2220	222	6.85	18.29	0.2675	0.122	0.0325	0.0397	2.61	-0.020	2.80
10	24 Sept.	2020	222	7.39	18.29	0.2372	0.121	0.0288	0.0341	2.86	-0.034	3.04
11	17 Oct.	2020	213	6.85	18.29	0.2675	0.116	0.0312	0.0397	2.50	0.005	2.82
12	24 Sept.	1420	211	6.38	18.29	0.3011	0.116	0.0348	0.0458	2.14	-0.015	3.15
13	24 Sept.	0820	195	6.85	18.29	0.2675	0.107	0.0285	0.0397	2.68	0.027	2.82
14	19 Oct.	0220	191	6.85	18.29	0.2675	0.104	0.0279	0.0397	2.28	0.038	2.86
15	12 Sept.	0820	188	5.97	18.29	0.3381	0.103	0.0348	0.0523	2.42	0.031	3.02
16	18 Oct.	2220	185	5.97	18.29	0.3381	0.101	0.0341	0.0523	2.16	0.015	2.97
17	17 Oct.	1620	176	5.29	18.29	0.4224	0.096	0.0406	0.0665	2.99	-0.087	2.99
18	25 Sept.	0420	176	8.03	18.29	0.2096	0.096	0.0202	0.0289	2.20	-0.052	2.71
19	25 Sept.	1020	172	5.97	18.29	0.3381	0.094	0.0318	0.0523	2.41	0.083	2.85
20	12 Sept.	0220	164	8.03	18.29	0.2096	0.089	0.0188	0.0289	2.40	0.016	2.88
21	12 Sept.	0420	163	8.03	18.29	0.2096	0.089	0.0187	0.0289	2.45	0.044	2.74
22	24 Sept.	0420	162	5.29	18.29	0.4224	0.089	0.0374	0.0665	3.04	-0.000	3.02
23	25 Oct.	0420	154	5.29	18.29	0.4224	0.084	0.0356	0.0665	2.47	-0.091	3.18
24	25 Oct.	0220	153	5.29	18.29	0.4224	0.084	0.0354	0.0665	3.70	0.023	3.10

Figure A-7. High-energy wave spectral plots, Michigan City, Indiana (pressure gage).

Figure A-7. High-energy wave spectral plots, Michigan City, Indiana (pressure gage).--Continued

Table A-7. Parameters for individual high-energy spectra, Michigan City, Indiana (pressure gauge).

No.	Date	Time	H _g (cm)	T _p (s)	d (m)	d/L _p	H _g /d	H _g /L _p	d/gT _p ²	Q _p	Normalized distribution function of sea-surface elevations	
1975												
1	18 Oct.	0840	278	8.03	6.47	0.1084	0.429	0.0465	0.0102	2.20	0.073	2.41
2	18 Oct.	0640	271	8.03	6.45	0.1082	0.420	0.0455	0.0102	2.70	0.021	2.52
3	18 Oct.	1040	249	8.03	6.52	0.1089	0.382	0.0416	0.0103	3.00	-0.096	2.62
4	18 Oct.	1240	239	8.03	6.46	0.1083	0.370	0.0400	0.0102	2.43	-0.005	2.42
5	24 Sept.	1840	230	6.38	6.48	0.1427	0.355	0.0507	0.0162	3.88	-0.095	2.41
6	18 Oct.	1440	225	8.03	6.43	0.1080	0.350	0.0378	0.0101	3.03	-0.055	2.69
7	18 Oct.	0240	224	8.03	6.39	0.1076	0.351	0.0377	0.0101	2.57	-0.060	2.64
8	24 Sept.	1640	221	6.38	6.47	0.1426	0.341	0.0487	0.0162	3.39	-0.176	2.70
9	18 Oct.	0040	220	6.85	6.42	0.1302	0.343	0.0446	0.0139	2.87	-0.047	2.58
10	18 Oct.	1640	217	7.39	6.43	0.1189	0.337	0.0401	0.0120	3.65	-0.120	2.69
11	18 Oct.	0440	216	8.03	6.41	0.1078	0.337	0.0363	0.0101	2.55	-0.237	3.06
12	24 Sept.	1040	203	7.39	6.47	0.1193	0.313	0.0374	0.0121	3.52	-0.083	2.67
13	17 Oct.	2240	199	7.39	6.44	0.1190	0.308	0.0367	0.0120	2.61	-0.160	2.83
14	24 Sept.	2040	198	7.39	6.44	0.1190	0.308	0.0366	0.0120	3.01	-0.176	2.67
15	25 Sept.	0040	197	8.03	6.41	0.1078	0.307	0.0331	0.0101	2.40	-0.076	2.49
16	24 Sept.	1440	192	7.39	6.45	0.1192	0.297	0.0354	0.0120	2.38	-0.172	2.59
17	24 Sept.	0640	191	5.97	6.38	0.1536	0.299	0.0459	0.0182	3.66	-0.153	2.50
18	24 Sept.	2240	190	7.39	6.41	0.1187	0.297	0.0353	0.0120	2.48	-0.195	2.82
19	17 Oct.	1840	187	5.97	6.39	0.1538	0.293	0.0450	0.0183	3.08	-0.159	2.51
20	17 Oct.	2040	184	6.85	6.36	0.1294	0.289	0.0374	0.0138	3.32	-0.130	2.66
21	24 Sept.	1240	182	7.39	6.48	0.1194	0.281	0.0336	0.0121	2.57	-0.156	2.70
22	19 Oct.	0040	179	6.85	6.40	0.1299	0.279	0.0363	0.0139	2.99	-0.172	2.80
23	18 Oct.	2040	179	6.85	6.42	0.1302	0.279	0.0363	0.0140	2.26	-0.194	2.85
24	24 Sept.	0840	176	7.39	6.40	0.1186	0.275	0.0326	0.0119	2.69	-0.160	2.89

Figure A-8. High-energy wave spectral plots, Presque Isle, Pennsylvania (buoy).

Figure A-8. High-energy wave spectral plots, Presque Isle, Pennsylvania (buoy).--Continued

Table A-8. Parameters for individual high-energy spectra, Presque Isle, Pennsylvania (buoy).

No.	Date	Time	H_g (cm)	T_p (s)	d (m)	d/L_p	H_g/d	H_g/L_p	d/gT_p^2	Q_p	Normalized distribution function of sea-surface elevations	
											q_3	q_4
1975												
1	18 Oct.	0820	218	6.85	18.59	0.2712	0.117	0.0318	0.0404	3.21	-0.028	3.33
2	18 Oct.	1420	212	6.38	18.59	0.3054	0.114	0.0348	0.0465	2.34	-0.501	4.84
3	24 Sept.	1620	200	5.61	18.59	0.3842	0.108	0.0413	0.0602	2.86	-0.197	3.39
4	18 Oct.	1220	197	6.38	18.59	0.3054	0.106	0.0324	0.0465	2.54	-0.170	3.46
5	21 Sept.	2020	195	6.38	18.59	0.3054	0.105	0.0320	0.0465	2.60	-0.012	2.86
6	18 Oct.	1820	195	5.61	18.59	0.3842	0.105	0.0403	0.0602	3.76	0.040	3.08
7	18 Oct.	2220	194	5.61	18.59	0.3842	0.104	0.0400	0.0602	3.44	-0.104	3.63
8	24 Sept.	1420	194	5.61	18.59	0.3842	0.105	0.0402	0.0602	4.38	-0.022	2.76
9	21 Sept.	2220	193	5.97	18.59	0.3431	0.104	0.0356	0.0531	2.74	-0.030	3.01
10	18 Oct.	2020	191	5.61	18.59	0.3842	0.103	0.0395	0.0602	2.31	-0.127	3.26
11	22 Sept.	0020	190	5.97	18.59	0.3431	0.102	0.0350	0.0531	2.87	-0.085	3.35
12	1 Nov.	2020	185	7.39	18.59	0.2402	0.100	0.0240	0.0347	3.08	-0.093	3.11
13	21 Sept.	1220	179	6.38	18.59	0.3054	0.096	0.0294	0.0465	2.43	-0.064	3.05
14	13 Sept.	1820	178	5.29	18.59	0.4291	0.096	0.0411	0.0676	2.91	-0.060	3.41
15	21 Sept.	1820	177	6.38	18.59	0.3054	0.095	0.0290	0.0465	2.60	0.086	3.07
16	22 Sept.	0220	176	5.97	18.59	0.3431	0.094	0.0324	0.0531	3.40	0.132	3.00
17	21 Sept.	1420	175	6.38	18.59	0.3054	0.094	0.0288	0.0465	2.95	0.115	3.28
18	13 Sept.	1020	175	5.61	18.59	0.3842	0.094	0.0362	0.0602	3.36	-0.155	3.51
19	22 Sept.	0420	167	6.38	18.59	0.3054	0.090	0.0275	0.0465	3.17	0.069	3.15
20	17 Oct.	1620	166	5.29	18.59	0.4291	0.089	0.0383	0.0676	3.77	-0.143	3.48
21	13 Sept.	2020	164	6.38	18.59	0.3054	0.088	0.0270	0.0465	2.30	-0.190	3.71
22	21 Sept.	1620	164	6.38	18.59	0.3054	0.088	0.0270	0.0465	3.14	0.085	3.01
23	24 Sept.	0620	164	5.29	18.59	0.4291	0.088	0.0379	0.0676	3.29	0.009	2.94
24	19 Oct.	0020	153	5.97	18.59	0.3431	0.082	0.0283	0.0531	2.69	-0.220	3.68

Figure A-9. High-energy wave spectral plots, Presque Isle, Pennsylvania (pressure gage).

Figure A-9. High-energy wave spectral plots, Presque Isle, Pennsylvania (pressure gage).--Continued

Table A-9. Parameters for individual high-energy spectra, Presque Isle, Pennsylvania (pressure gage).

No.	Date	Time	H ₀ (cm)	T _p (s)	d (m)	d/l _p	H ₀ /d	H ₀ /l _p	d/gT _p ²	Q _p	Normalized distribution function of sea-surface elevations	
1975												
1	18 Oct.	0020	169	6.85	4.07	0.0999	0.415	0.0415	0.0088	2.71	0.200	2.50
2	18 Oct.	0220	154	6.85	4.02	0.0992	0.383	0.0380	0.0087	2.35	0.191	2.48
3	17 Oct.	2020	152	5.97	4.02	0.1160	0.378	0.0438	0.0115	2.71	0.098	2.52
4	17 Oct.	2220	150	6.85	4.02	0.0992	0.372	0.0369	0.0087	2.64	-0.061	2.61
5	18 Oct.	0420	149	7.39	4.02	0.0911	0.370	0.0337	0.0075	2.79	0.194	2.46
6	18 Oct.	0620	131	7.39	4.04	0.0914	0.324	0.0296	0.0075	2.92	0.144	2.50
7	18 Oct.	0820	128	6.85	4.20	0.1016	0.304	0.0309	0.0091	2.56	0.167	2.36
8	24 Sept.	1220	126	5.29	5.73	0.1675	0.220	0.0369	0.0209	3.10	-0.744	3.98
9	18 Oct.	1620	125	6.38	4.27	0.1113	0.293	0.0326	0.0107	4.10	-0.028	2.36
10	25 Sept.	0020	119	6.85	4.09	0.1001	0.291	0.0291	0.0089	3.05	-0.005	2.16
11	25 Sept.	0220	119	6.85	4.14	0.1008	0.287	0.0289	0.0090	2.63	0.058	2.32
12	18 Oct.	1020	116	6.85	4.31	0.1031	0.269	0.0278	0.0094	3.04	0.076	2.20
13	18 Oct.	1420	115	6.85	4.28	0.1028	0.269	0.0276	0.0093	3.05	-0.042	2.29
14	24 Sept.	1620	114	5.97	4.06	0.1166	0.281	0.0328	0.0116	3.85	0.066	2.65
15	18 Oct.	1220	109	6.85	4.28	0.1028	0.254	0.0261	0.0093	2.73	0.185	2.32
16	25 Sept.	0420	108	6.85	4.19	0.1015	0.258	0.0262	0.0091	2.53	-0.059	2.18
17	18 Oct.	1820	107	5.97	4.24	0.1196	0.251	0.0301	0.0121	2.74	0.087	2.19
18	1 Nov.	1220	106	6.85	4.18	0.1014	0.254	0.0257	0.0091	4.34	0.448	2.66
19	24 Sept.	0420	104	5.29	5.36	0.1603	0.194	0.0310	0.0195	3.42	0.060	2.03
20	24 Sept.	0620	104	5.29	5.32	0.1596	0.195	0.0311	0.0194	3.19	0.037	2.06
21	24 Sept.	1420	101	5.97	4.16	0.1183	0.243	0.0287	0.0119	3.25	-0.141	2.47
22	25 Sept.	1020	100	4.52	4.22	0.1684	0.237	0.0400	0.0210	1.67	-0.132	2.42
23	18 Oct.	2220	99	4.75	4.31	0.1600	0.230	0.0368	0.0194	2.56	0.039	2.17
24	2 Oct.	0820	99	4.31	4.45	0.1860	0.223	0.0415	0.0244	5.66	-0.080	2.31

Figure A-10. High-energy wave spectral plots, Huntington Beach, California.

Figure A-10. High-energy wave spectral plots, Huntington Beach, California.--Continued

Table A-10. Parameters for individual high-energy spectra, Huntington Beach, California.

No.	Date	Time	H _s (cm)	T _p (s)	d (m)	d/L _p	H _g /d	H _g /L _p	d/gT _p ²	Q _p	Normalized distribution function of sea-surface elevations		
1972													
1	29 Aug.	1840	294	14.12	8.54	0.0680	0.344	0.0234	0.0044	3.91	0.892	3.64	
2	30 Aug.	0040	248	14.12	8.17	0.0664	0.304	0.0202	0.0042	3.87	0.506	3.25	
3	5 Oct.	1240	229	14.12	8.94	0.0697	0.256	0.0179	0.0046	3.95	0.477	2.89	
4	24 Aug.	1840	225	12.26	8.51	0.0789	0.265	0.0209	0.0058	3.47	0.727	3.38	
5	5 Apr.	1240	222	14.12	8.79	0.0691	0.253	0.0175	0.0045	2.50	1.471	5.18	
6	15 Mar.	1840	210	12.26	7.93	0.0760	0.265	0.0201	0.0054	1.69	1.091	5.02	
7	30 Aug.	0640	210	10.84	8.89	0.0925	0.236	0.0219	0.0077	4.05	0.551	2.96	
8	24 Aug.	1240	209	14.12	9.63	0.0725	0.217	0.0158	0.0049	2.45	0.589	3.20	
9	26 Mar.	0640	198	8.03	8.86	0.1304	0.223	0.0291	0.0140	1.84	0.593	3.37	
10	18 Nov.	0029	197	14.12	8.78	0.0690	0.224	0.0155	0.0045	1.79	0.525	3.75	
11	23 Mar.	1840	194	12.26	9.05	0.0816	0.215	0.0175	0.0061	1.65	0.474	3.13	
12	12 Nov.	0040	193	8.79	8.68	0.1153	0.223	0.0258	0.0115	1.64	0.327	3.16	
13	6 Oct.	0641	189	14.12	8.31	0.0670	0.227	0.0152	0.0042	3.43	0.740	3.39	
14	3 Sept.	0040	184	14.12	8.42	0.0675	0.219	0.0148	0.0043	6.71	0.870	3.54	
15	11 Nov.	1240	184	6.85	9.78	0.1695	0.189	0.0320	0.0212	1.70	0.329	3.73	
16	18 Nov.	1229	183	16.65	9.18	0.0594	0.199	0.0118	0.0034	2.04	0.469	3.12	
17	29 Aug.	1240	179	14.12	9.73	0.0729	0.184	0.0134	0.0050	4.50	0.646	3.35	
18	25 Aug.	0640	177	10.84	7.94	0.0870	0.223	0.0194	0.0069	2.43	0.424	2.81	
19	4 Oct.	1840	176	12.26	8.74	0.0801	0.202	0.0161	0.0059	2.41	0.419	2.85	
20	25 Aug.	0040	175	12.26	9.70	0.0847	0.180	0.0153	0.0066	3.40	0.419	2.99	
21	24 Aug.	0640	175	12.26	7.84	0.0755	0.224	0.0169	0.0053	1.84	0.480	3.73	
22	24 Mar.	1241	169	8.79	8.54	0.1146	0.198	0.0227	0.0113	2.31	0.432	3.35	
23	23 Dec.	1840	168	14.12	7.96	0.0655	0.211	0.0139	0.0041	2.75	0.521	3.34	
24	24 Dec.	1240	166	14.12	9.16	0.0707	0.181	0.0128	0.0047	2.43	0.399	3.46

Figure A-11. High-energy wave spectral plots, Pt. Mugu, California.

Figure A-11. High-energy wave spectral plots, Pt. Mugu, California.--Continued

Table A-11. Parameters for individual high-energy spectra, Pt. Mugu, California.

No.	Date	Time	H_g (cm)	T_p (s)	d (m)	d/L_p	H_g/d	H_g/L_p	d/gT_p^2	Q_p	Normalized distribution function of sea-surface elevations	
											q_3	q_4
1972												
1	28 Dec.	0640	217	6.38	9.96	0.1890	0.218	0.0412	0.0249	2.45	-0.129	3.34
2	14 Mar.	1840	207	16.65	8.93	0.0585	0.232	0.0136	0.0033	2.84	0.648	3.58
3	5 Sept.	1840	202	9.71	9.20	0.1068	0.220	0.0235	0.0099	3.21	0.079	2.53
4	27 Mar.	1841	198	5.97	9.26	0.1969	0.214	0.0422	0.0265	2.13	-0.095	2.94
5	5 Sept.	1240	193	10.84	9.59	0.0966	0.201	0.0194	0.0083	3.08	0.091	2.44
6	6 Sept.	0040	187	10.84	9.74	0.0974	0.192	0.0187	0.0085	3.43	-0.025	2.83
7	28 Mar.	0041	185	3.26	10.28	0.6215	0.180	0.1120	0.0988	4.02	0.376	4.34
8	22 Dec.	1840	182	6.38	8.26	0.1665	0.220	0.0367	0.0207	2.33	-0.237	3.04
9	28 Dec.	1840	175	6.38	9.24	0.1795	0.190	0.0340	0.0231	1.97	-0.149	3.02
10	31 Dec.	1240	174	16.65	9.41	0.0602	0.185	0.0111	0.0035	1.74	0.020	3.05
11	20 Oct.	0040	173	5.97	9.20	0.1961	0.188	0.0368	0.0263	2.13	-0.028	2.83
12	23 Mar.	0040	172	6.85	9.82	0.1700	0.175	0.0298	0.0213	1.99	0.098	3.89
13	5 Sept.	0641	168	10.84	8.55	0.0906	0.196	0.0178	0.0074	3.63	0.124	2.69
14	6 Sept.	0640	165	10.84	8.47	0.0901	0.195	0.0176	0.0073	2.57	0.002	2.96
15	22 Mar.	1840	164	5.61	9.78	0.2243	0.167	0.0375	0.0317	2.40	-0.058	2.83
16	30 Dec.	1840	164	20.28	8.91	0.0477	0.184	0.0088	0.0022	2.55	0.322	2.83
17	8 Nov.	1840	162	6.38	8.40	0.1684	0.193	0.0325	0.0210	1.74	-0.109	2.92
18	11 Mar.	1840	162	14.12	9.29	0.0711	0.174	0.0124	0.0047	1.44	0.168	3.24
19	1 Oct.	1840	162	5.97	9.88	0.2063	0.164	0.0338	0.0282	2.12	-0.140	2.81
20	14 Mar.	1241	161	16.65	10.33	0.0632	0.156	0.0099	0.0038	2.44	0.176	2.54
21	23 Dec.	1240	161	14.12	10.26	0.0750	0.157	0.0118	0.0052	2.07	0.080	2.73
22	26 Mar.	0040	159	5.97	10.06	0.2090	0.158	0.0330	0.0288	2.06	-0.078	2.72
23	5 Nov.	0040	159	16.65	9.56	0.0607	0.166	0.0101	0.0035	1.73	0.092	2.85
24	24 Dec.	1240	159	14.12	9.78	0.0731	0.163	0.0119	0.0050	1.60	-0.086	2.92

APPENDIX B

MEAN SPECTRA GROUPED BY HEIGHT AND PERIOD

Table B-1. Approximate relative depth and ratio of significant height to depth for mean spectra grouped by height and period.

Parameters based on period			Parameters based on height	
T_p (s)	d/L_p	d/gT_p^2	H_g (cm)	H_g/d
Atlantic City				
3.5	0.286	0.043	46	0.088
4.5	0.195	0.026	76	0.147
5.5	0.149	0.017	107	0.206
6.4	0.124	0.013	137	0.265
6.8	0.115	0.011	168	0.324
7.4	0.105	0.010	198	0.382
8.0	0.096	0.008	229	0.441
8.8	0.086	0.007	259	0.500
9.7	0.078	0.006		
10.5	0.069	0.005		
12.3	0.060	0.003		
14.1	0.052	0.003		
16.7	0.044	0.002		
Virginia Beach				
3.5	0.327	0.051	46	0.075
4.5	0.218	0.031	76	0.125
5.5	0.165	0.021	107	0.175
6.4	0.136	0.015	168	0.275
6.8	0.127	0.013	198	0.325
7.4	0.115	0.011	229	0.375
8.0	0.105	0.010	259	0.425
8.8	0.094	0.008	290	0.475
9.7	0.085	0.007		
10.8	0.075	0.005		
12.3	0.066	0.004		
14.1	0.057	0.003		
Nags Head				
5.5	0.149	0.017	46	0.088
6.4	0.124	0.013	76	0.147
6.8	0.115	0.011	107	0.206
7.4	0.105	0.010	137	0.265
8.0	0.096	0.008	168	0.324
8.8	0.086	0.007	198	0.382
9.7	0.078	0.006	229	0.441
10.8	0.069	0.005	259	0.500
12.3	0.060	0.003		
14.1	0.052	0.003		
16.7	0.044	0.002		

Table B-1. Approximate relative depth and ratio of significant height to depth for mean spectra grouped by height and period.--Continued

Parameters based on period			Parameters based on height	
T_p (s)	d/L_p	d/gT_p^2	H_s (cm)	H_s/d
Lake Worth				
2.5	0.563	0.089	46	0.083
3.5	0.299	0.046	76	0.139
4.5	0.202	0.028	107	0.194
5.5	0.154	0.018	137	0.250
6.4	0.128	0.014	168	0.306
8.8	0.089	0.007	198	0.361
9.7	0.080	0.006		
10.8	0.071	0.005		
12.3	0.062	0.004		
14.1	0.054	0.003		
Naples				
2.5	0.531	0.084	15	0.029
3.5	0.285	0.043	46	0.088
4.5	0.194	0.026	76	0.147
5.5	0.149	0.017	107	0.206
6.4	0.124	0.013	137	0.265
6.8	0.115	0.011		
7.4	0.105	0.010		
8.0	0.096	0.008		
8.8	0.086	0.007		
9.7	0.078	0.006		
Michigan City (buoy)				
2.5	1.875	0.298	15	0.008
3.5	0.957	0.152	46	0.025
4.5	0.579	0.092	76	0.042
5.5	0.390	0.062	107	0.058
6.4	0.299	0.045	137	0.075
6.8	0.270	0.040	168	0.092
7.4	0.235	0.034	198	0.108
8.0	0.210	0.029	229	0.125
			259	0.142
			290	0.158
Michigan City (pressure)				
3.5	0.370	0.058	15	0.022
4.5	0.242	0.035	46	0.065
5.5	0.181	0.024	76	0.109
6.4	0.149	0.017	107	0.152
6.8	0.138	0.015	137	0.196
7.4	0.125	0.013	168	0.239
8.0	0.114	0.011	198	0.283
			229	0.326
			259	0.370

Table B-1. Approximate relative depth and ratio of significant height to depth for mean spectra grouped by height and period.--Continued

Parameters based on period			Parameters based on height	
T_p (s)	d/L_p	d/gT_p^2	H_g (cm)	H_g/d
Presque Isle (buoy)				
3.5	0.973	0.155	46	0.025
4.5	0.588	0.094	76	0.041
5.5	0.397	0.063	107	0.057
6.4	0.301	0.046	137	0.074
6.8	0.272	0.041	168	0.090
7.4	0.238	0.035	198	0.107
			229	0.124
Presque Isle (pressure)				
3.5	0.257	0.038	15	0.033
4.5	0.178	0.023	46	0.100
5.5	0.138	0.015	76	0.167
6.4	0.115	0.011	107	0.233
6.8	0.107	0.010	137	0.300
7.4	0.097	0.009	168	0.367
Huntington Beach				
5.5	0.219	0.031	46	0.050
6.4	0.177	0.023	76	0.083
6.8	0.163	0.020	107	0.117
7.4	0.146	0.017	138	0.150
8.0	0.133	0.015	168	0.183
8.8	0.119	0.012	198	0.217
9.7	0.106	0.010	229	0.250
10.8	0.094	0.008	259	0.283
12.3	0.081	0.006	290	0.317
14.1	0.070	0.005		
16.7	0.059	0.003		
20.3	0.048	0.002		
Pt. Mugu				
3.5	0.494	0.079	46	0.048
4.5	0.309	0.048	76	0.081
5.5	0.224	0.032	107	0.113
6.4	0.181	0.024	137	0.145
6.8	0.166	0.021	168	0.177
7.4	0.150	0.018	198	0.210
8.0	0.136	0.015	229	0.242
8.8	0.121	0.012		
9.7	0.108	0.010		
10.8	0.096	0.008		
12.3	0.083	0.006		
14.1	0.072	0.005		
16.7	0.060	0.003		
20.3	0.049	0.002

APPENDIX C

MEAN SPECTRA GROUPED BY HEIGHT, PERIOD, AND WATER LEVEL

Interpretation key	
Mean	Water level
1	low
2	mid
3	high

APPENDIX D

DESCRIPTION OF COMPUTER ROUTINE *SMOOTH* FOR IDENTIFYING MAJOR PEAKS AND VALLEYS IN AN IRREGULAR SIGNAL

The computer routine *SMOOTH* is useful for deleting small, inconsequential peaks and valleys from an irregular digital signal. Peaks and valleys that remain after application of *SMOOTH* represent major extrema which in many cases are more meaningful than small wiggles in the signal.

SMOOTH is a very general routine which can be applied to many different situations. It has been applied at CERC to the following three situations:

- (a) Computation of statistics on individual waves. *SMOOTH* was applied to a time series of sea-surface elevations:
- (b) Computation of statistics on tidal highs and lows. *SMOOTH* was applied to a time series of tidal elevations.
- (c) Identification of major spectral peaks. *SMOOTH* was applied to a wave energy spectrum.

The operation of *SMOOTH* is most conveniently described in terms of its application to a time series of sea-surface elevations, although its other applications are analogous. The general scheme of operation consists of a check on the time difference and elevation difference between successive extrema. If either is less than the specified acceptable minimum then one peak and one valley are deleted from the time series.

The input to *SMOOTH* consists of several control parameters and an array (EXTIM) containing time and elevation for each extremum in the time series. Figure D-1 shows five extrema in a hypothetical time series. If the point labeled "-1" were the first point in the time series, then the first 10 values in the EXTIM array would be

$$t_{-1}, \eta_{-1}, t_0, \eta_0, t_1, \eta_1, t_2, \eta_2, t_3, \text{ and } \eta_3,$$

where t_i and η_i are defined as the time and elevation associated with the i th point. The control parameters which must be specified are:

- FURST = time associated with the first point desired in the time series.
- ITEMS = total number of values in EXTIM array (= twice the number of extrema).
- CHP = minimum acceptable time difference between successive points (critical half period).
- HMIN = minimum acceptable elevation difference between successive points.

Figure D-1. Hypothetical time series.

After completion of *SMOOTH*, the times and elevations of major peaks and valleys are stored in the first ITEMS elements of EXTIM. The value of ITEMS has been reduced in accordance with the number of small peaks and valleys eliminated. Since the smoothing algorithm cannot work properly at the end of a record, the last few points are usually accepted regardless of whether or not they satisfy the acceptance criteria. Thus, the last six elements in EXTIM may not represent major peaks or valleys and should either be checked or categorically eliminated.

A complete list of subroutine *SMOOTH* with comments is provided in Figure D-2. A flow chart for the main processing loop (identified as loop 1520 in the listing) is provided in Figure D-3. The symbols η_i and t_i and the point numbers referred to in the flow chart are consistent with definitions in Figure D-1. T_{CHP} and H_{MIN} in the flow chart are equivalent to *CHP* and *HMIN* in the listing.

SUBROUTINE SMOOTH (FURST, ITEMS)

```

C      SUBROUTINE SHOUTH TAKES A RECORD OF PEAKS AND VALLEYS AND
C      ELIMINATES INCONSEQUENTIAL PEAKS AND VALLEYS. THE ELIMINATION
C      CRITERIA ARE A MINIMUM DIFFERENCE BETWEEN PEAK AND VALLEY
C      ELEVATIONS (HMIN) AND A MINIMUM HORIZONTAL SPACING (BE IT
C      TIME, POSITION, ETC) BETWEEN PEAK AND VALLEY (CMP).
C      INPUT PARAMETERS ARE DEFINED AS FOLLOWS:
C      FURST = STARTING TIME
C      ITEMS = TOTAL NUMBER OF EXTREME VALUES (INCLUDES TIME AND
C              ELEVATION VALUES)
C      CMP = CRITICAL HALF PERIOD
C      HMIN = MINIMUM HEIGHT TO BE CONSIDERED
C      EXTIM(ODD) = TIME
C      EXTIM(EVEN) = EXTREME ELEVATION ASSOCIATED WITH EXTIM(EVEN+1) VALUE
C              OF TIME
C      COMMON /SHOT/ EXTIM(100)
C      SET VALUES OF CMP AND HMIN TO BE USED
C      DATA CMP, HMIN / 0.00, 3.0 /
C      INITIALIZE VARIABLES AND FIND STARTING POINT FOR PROCESSING
1503 ITEMS=ITEMS
    J=1
    ITEM7=ITEMS-7
    DO 1502 I=1,ITEMS-2
      ISKIP=1
      IF(EXTIM(I).GE.FURST) GO TO 1504
      J=J+2
      ITEMS=ITEMS-2
1502 CONTINUE
1504 ISTART=ISKIP

C*****
C      BEGIN MAIN PROCESSING LOOP
    DO 1520 I=ISTART,ITEM7-2
      L=1
      IF(ISTART.GT.ITEM7)GO TO 1520
      IF(EXTIM(I)+CMP.GT.EXTIM(I+2))GO TO 1511
      IF(ABS(EXTIM(I+1)-EXTIM(I+3)).LT.HMIN)GO TO 1511
C      IF NO TRANSFER, THIS EXTREME ACCEPTED
      EXTIM(J)=EXTIM(I)
      EXTIM(J+1)=EXTIM(I+1)
      J=J+2
      GO TO 1520
C      WHEN THE NEXT INSTRUCTION IS REACHED, ONE HIGH AND ONE LOW WILL
C      BE DELETED
1511 IF(EXTIM(I+1).GT.EXTIM(I+3))GO TO 1514
C      IF NEXT INSTRUCTION IS USED, THIS IS A LOW
      IF(EXTIM(I+5).GT.EXTIM(I+1))GO TO 1512
      IF(EXTIM(I+3).GT.EXTIM(I-1))GO TO 1513
      GO TO 1517
1512 IF(EXTIM(I+3).GT.EXTIM(I+7))GO TO 1519
      GO TO 1516
C      WHEN NEXT INSTRUCTION IS USED, THIS IS A HIGH
1514 IF(EXTIM(I+5).GT.EXTIM(I+1))GO TO 1515
      IF(EXTIM(I+7).GT.EXTIM(I+3))GO TO 1519
      GO TO 1516
1515 IF (EXTIM(I+3).GT.EXTIM(I-1))GO TO 1517
      GO TO 1518
C      SET THE VALUE OF ICASE
1516 ICASE=1
      GO TO 1521
1517 ICASE=2
      GO TO 1521

```

Figure D-2. List of subroutine *SMOOTH*.


```

1518 ICASE=3
      GO TO 1521
1519 ICASE=4
1521 J1=I-2
      J2=I+7
C      DELETE ONE HIGH AND ONE LOW ACCORDING TO THE VALUE OF ICASE
      GO TO(1522,1523,1524,1525)ICASE.
C      STORAGE PLAN A
1522 EXTIM(J-2)=EXTIM(I-2)
      EXTIM(J-1)=EXTIM(I-1)
      EXTIM(J)=EXTIM(I)
      EXTIM(J+1)=EXTIM(I+1)
      EXTIM(J+2)=EXTIM(I+6)
      EXTIM(J+3)=EXTIM(I+7)
      GO TO 1526
C      STORAGE PLAN B
1523 EXTIM(J-2)=EXTIM(I-2)
      EXTIM(J-1)=EXTIM(I-1)
      EXTIM(J)=EXTIM(I+4)
      EXTIM(J+1)=EXTIM(I+5)
      EXTIM(J+2)=EXTIM(I+6)
      EXTIM(J+3)=EXTIM(I+7)
      GO TO 1526
C      STORAGE PLAN C
1524 EXTIM(J-2)=EXTIM(I+2)
      EXTIM(J-1)=EXTIM(I+3)
      EXTIM(J)=EXTIM(I+4)
      EXTIM(J+1)=EXTIM(I+5)
      EXTIM(J+2)=EXTIM(I+6)
      EXTIM(J+3)=EXTIM(I+7)
      GO TO 1526
C      STORAGE PLAN D
1525 EXTIM(I+6)=EXTIM(I+2)
      EXTIM(I+7)=EXTIM(I+3)
      EXTIM(J-2)=EXTIM(I-2)
      EXTIM(J-1)=EXTIM(I-1)
      EXTIM(J)=EXTIM(I)
      EXTIM(J+1)=EXTIM(I+1)
      EXTIM(J+2)=EXTIM(I+2)
      EXTIM(J+3)=EXTIM(I+3)
      K1=I+4
      K2=I+10
1526 J1=J-2
      J2=J+3
      ITEMS=ITEMS-4
      ISKIP=I+8
      J=J+4
      GO TO 1504
1520 CONTINUE
C      END MAIN PROCESSING LOOP
C*****
      J=J-1
      IF(I-2.EQ.ITEM7)L=1
1530 IF(L.GT.ITEMS)GO TO 1540
      J=J+1
      EXTIM(J)=EXTIM(L)
      L=L+1
      GO TO 1530
1540 CONTINUE
      ITEMS=J
C      IF THERE WERE ANY DELETIONS IN THIS PASS REPROCESS ALL REMAINING
C      HIGHS AND LOWS TO MAKE FURTHER DELETIONS IF NEEDED.
      IF(ITEMS.GT.ITEMS)GO TO 1503
      RETURN
END

```

Figure D-2. List of subroutine *SMOOTH* .--Continued

Figure D-3. Flow chart for main processing loop in *SMOOTH* (loop 1520).

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